



# The ID Register

## *Index of Industry Regulators by Country*



## Table of Contents

▪ Index of Regulators by Country	3
▪ Index of USA State Regulators	30

## Index of Industry Regulators by Country (A)

Country	Regulator	Website Home	Website and Supervised Entities
Afghanistan	Da Afghanistan Bank / Central Bank of Afghanistan	<a href="http://dab.gov.af/en">http://dab.gov.af/en</a>	
		Banks	<a href="http://dab.gov.af/en/page/financial-supervision/licensed-financial-institutions/banks">http://dab.gov.af/en/page/financial-supervision/licensed-financial-institutions/banks</a>
		Non-Banks	<a href="http://dab.gov.af/en/page/financial-supervision/licensed-financial-institutions/none-banks">http://dab.gov.af/en/page/financial-supervision/licensed-financial-institutions/none-banks</a>
Albania	Autoriteti i Mbikëqyrjes Financiare / AMF / Albanian Financial Supervisory Authority	<a href="http://www.amf.gov.al/?lng=1">http://www.amf.gov.al/?lng=1</a>	Please select "Register of Licensed Persons / Entities" (Regjistri i të Licensuarve) tab on main page. Multiple forms and sub-forms of licenses.
Algeria	La Commission d'Organisation et de Surveillance des Opérations de Bourse (COSOB)	<a href="http://www.cosob.org/">http://www.cosob.org/</a>	Website is available only in French and Arabic languages.
Andorra	Institut Nacional Andorrà de Finances (INAF)	<a href="https://www.inaf.ad/index.php?lang=en">https://www.inaf.ad/index.php?lang=en</a>	<a href="https://www.inaf.ad/index.php?option=com_multicategories&amp;view=category&amp;id=6&amp;Itemid=76&amp;lang=en">https://www.inaf.ad/index.php?option=com_multicategories&amp;view=category&amp;id=6&amp;Itemid=76&amp;lang=en</a>
Anguilla	Anguilla Financial Services Commission	<a href="http://www.fsc.org.ai/">http://www.fsc.org.ai/</a>	Go to Sectors drop down tab. Each Sector includes information on it's respective regulated Entities.  See blue boxes on right side of screen - Sector Information - Regulated Entities.
Antigua & Barbuda	Financial Services Regulatory Commission	<a href="https://www.fsrc.gov.ag/">https://www.fsrc.gov.ag/</a>	<a href="https://www.fsrc.gov.ag/index.php/directories">https://www.fsrc.gov.ag/index.php/directories</a>

## Index of Industry Regulators by Country (A)

Country	Regulator	Website Home	Website and Supervised Entities
Argentina	Comisión nacional de valores de la Republica Argentina (CNV)	<a href="http://www.cnv.gob.ar/web">http://www.cnv.gob.ar/web</a> <a href="http://www.cnv.gob.ar/quuees.asp?Lang=1">http://www.cnv.gob.ar/quuees.asp?Lang=1</a>	<a href="http://www.cnv.gob.ar/registrospublicos.asp">http://www.cnv.gob.ar/registrospublicos.asp</a> The second link is the English language link.
Armenia	Central Bank of Armenia / Հայաստանի Հանրապետության Կենտրոնական Բանկ	<a href="https://www.cba.am/en/sitepages/default.aspx">https://www.cba.am/en/sitepages/default.aspx</a>	<a href="https://www.cba.am/en/sitepages/fs_cfobanks.aspx">https://www.cba.am/en/sitepages/fs_cfobanks.aspx</a> This link opens to “Banks” - On the left hand side of the web page (under "Financial Systems and control - Financial Organizations") you can select the various institution types.
Australia	Australian Prudential Regulation Authority (APRA)	<a href="http://www.apra.gov.au/Pages/default.aspx">http://www.apra.gov.au/Pages/default.aspx</a>	See below:
		Register of General Insurers	<a href="http://www.apra.gov.au/GI/Pages/general-insurers.aspx">http://www.apra.gov.au/GI/Pages/general-insurers.aspx</a>
		Registrable Superannuation Entities (RSEs)	<a href="http://www.apra.gov.au/RSE/Pages/default.aspx">http://www.apra.gov.au/RSE/Pages/default.aspx</a>
		Register of Life Insurers	<a href="http://www.apra.gov.au/lifs/Pages/registered-life-insurers.aspx">http://www.apra.gov.au/lifs/Pages/registered-life-insurers.aspx</a>
		Register of Friendly Societies	<a href="http://www.apra.gov.au/lifs/Pages/List-of-Friendly-Societies.aspx">http://www.apra.gov.au/lifs/Pages/List-of-Friendly-Societies.aspx</a>
		Register of Private Health Insurers	<a href="http://www.apra.gov.au/PHI/Pages/Register-of-Private-Health-Insurers.aspx">http://www.apra.gov.au/PHI/Pages/Register-of-Private-Health-Insurers.aspx</a>
Australia	Australian Securities & Investments Commission (ASIC)	<a href="http://asic.gov.au/">http://asic.gov.au/</a>	<a href="https://connectonline.asic.gov.au/RegistrySearch/faces/landing/ProfessionalRegisters.jspx?_adf.ctrl-state=9pmivmv2z_4">https://connectonline.asic.gov.au/RegistrySearch/faces/landing/ProfessionalRegisters.jspx?_adf.ctrl-state=9pmivmv2z_4</a> Independent Australian government body that acts as Australia's corporate regulator.
Austria	Oesterreichische Nationalbank (OeNB)	<a href="https://www.oenb.at/en/">https://www.oenb.at/en/</a>	<a href="https://www.oenb.at/idakilz/kiverzei/chnis?lang=en">https://www.oenb.at/idakilz/kiverzei/chnis?lang=en</a> Links to a directory of SEPA service providers. The Single Euro Payments Area (SEPA) provides a set of uniform standards for payments between European FI's

## Index of Industry Regulators by Country (A – B)

Country	Regulator	Website Home	Website and Supervised Entities
Austria	Übernahmekommission (Austrian Takeover Commission)	<a href="http://www.takeover.at/">http://www.takeover.at/</a>	German Language: Some pages have English translation available by clicking the top right DE/EN
Austria	Österreichische Finanzmarktaufsicht / Austrian Financial Market Authority (FMA)	<a href="https://www.fma.gv.at/en/">https://www.fma.gv.at/en/</a>	<a href="https://www.fma.gv.at/en/search-company-database/">https://www.fma.gv.at/en/search-company-database/</a>  Link is to English language version.
Azerbaijan	Azərbaycan Respublikası Qiymətli Kağızlar üzrə Dövlət Komitəsi / The State Committee for Securities of the Azerbaijan Republic	<a href="http://www.fiu.az/eng/state-committee-for-securities/">http://www.fiu.az/eng/state-committee-for-securities/</a>	See: Monitoring - Financial Institutions - (Institution Type)
Bahamas	Securities Commission of the Bahamas	<a href="http://www.scb.gov.bs/">http://www.scb.gov.bs/</a>	<a href="http://www.scb.gov.bs/reg_entities.html">http://www.scb.gov.bs/reg_entities.html</a>
Bahrain	Central Bank of Bahrain	<a href="http://www.cbb.gov.bh/">http://www.cbb.gov.bh/</a>	<a href="http://www.cbb.gov.bh/iis/approved-person">http://www.cbb.gov.bh/iis/approved-person</a>
Bangladesh	Bangladesh Securities and Exchange Commission (BSEC)	<a href="http://www.secbd.org/">http://www.secbd.org/</a>	Side menu on the left of the main page - Investor's Information - "Brokers Dealers" (boxed English language options available in the lower part of the page).
Barbados	Financial Services Commission, Barbados	<a href="http://www.fsc.gov.bb/">http://www.fsc.gov.bb/</a>	See tabs on main page. Each institution type includes a link to Regulated Entities.
Barbados	Central Bank of Barbados	<a href="http://www.centralbank.org.bb/">http://www.centralbank.org.bb/</a>	<a href="http://www.centralbank.org.bb/banking-supervision/licensed-financial-institutions">http://www.centralbank.org.bb/banking-supervision/licensed-financial-institutions</a>
Barbados	Financial Intelligence Unit / Anti-Money Laundering Authority	<a href="http://www.barbadosfiu.gov.bb/">http://www.barbadosfiu.gov.bb/</a>	New website but no search function available yet for regulated entities (Accurate November 2017)

## Index of Industry Regulators by Country (B)

Country	Regulator	Website Home	Website and Supervised Entities
Belgium	Banque Nationale de Belgique	<a href="https://www.nbb.be/en">https://www.nbb.be/en</a>	<a href="https://www.nbb.be/fr/supervision-financiere/controle-prudentiel/domaines-de-controle/etablisements-de-credit/listes">https://www.nbb.be/fr/supervision-financiere/controle-prudentiel/domaines-de-controle/etablisements-de-credit/listes</a>  French / Dutch language: Linked page contains lists of supervised / licensed businesses.
Belgium	Financial Services and Markets Authority (FSMA)	<a href="https://www.fsma.be/en">https://www.fsma.be/en</a>	No direct link.
Belize	International Financial Services Commission (IFSC)	<a href="http://www.ifsc.gov.bz/">http://www.ifsc.gov.bz/</a>	<a href="http://www.ifsc.gov.bz/licensed-service-providers/">http://www.ifsc.gov.bz/licensed-service-providers/</a>
Bermuda	Bermuda Monetary Authority (BMA)	<a href="http://www.bma.bm/SitePages/Home.aspx">http://www.bma.bm/SitePages/Home.aspx</a>	See tabs on main page. The Banking, CSP, Insurance and Trust tabs produce drop down menus that each include "Licensed Entities" as an option.
Bolivia	Autoridad de Supervisión del Sistema Financiero (ASFI)	<a href="https://www.asfi.gob.bo/">https://www.asfi.gob.bo/</a>	<a href="https://www.asfi.gob.bo/index.php/mv-publicaciones/mv-hechos-relevantes">https://www.asfi.gob.bo/index.php/mv-publicaciones/mv-hechos-relevantes</a>  Spanish language: Link is to lists of regulated entities.
Bosnia and Herzegovina	Securities Commission of the Federation of Bosnia and Herzegovina / Komisija za vrijednosne papire Federacije Bosne i Hercegovine (KOMVP FBiH)	<a href="http://www.komvp.gov.ba/site/index.php/en/home">http://www.komvp.gov.ba/site/index.php/en/home</a>	The Registry Index is not yet available on-line (accurate November 2017)
Bosnia and Herzegovina	Republic of Srpska Securities Commission / Komisija za hartije od vrijednosti Republike Srpske	<a href="http://www.secrs.gov.ba/en/">http://www.secrs.gov.ba/en/</a>	(Bosnian language) Linked page includes further links to regulated / registered company information. The menu in the white box on the left includes links to industry specific information.

## Index of Industry Regulators by Country (B - C)

Country	Regulator	Website Home	Website and Supervised Entities
Brazil	Central Bank of Brazil / Banco Central do Brasil	<a href="http://www.bcb.gov.br/en#!/home">http://www.bcb.gov.br/en#!/home</a>	
		Foreign Banks	<a href="http://www.bcb.gov.br/en#!/n/SUPERVINSTIT">http://www.bcb.gov.br/en#!/n/SUPERVINSTIT</a>
		Local Institutions	<a href="https://www3.bcb.gov.br/informes/relatorios?lingua=en">https://www3.bcb.gov.br/informes/relatorios?lingua=en</a>
British Virgin Islands	British Virgin Islands Financial Services Commission	<a href="http://www.bvifsc.vg/">http://www.bvifsc.vg/</a>	<a href="http://www.bvifsc.vg/en-gb/regulatedentities.aspx">http://www.bvifsc.vg/en-gb/regulatedentities.aspx</a>  Links to lists of Regulated Entities by business type.
Brunei	Brunei International Financial Centre (BIFC)	<a href="http://www.mof.gov.bn/">http://www.mof.gov.bn/</a>	BIFC is a component of the Brunei Ministry of Finance. There does not seem to be a direct link to BIFC, the link provided is to the Brunei Ministry of Finance.
Bulgaria	Financial Supervision Commission	<a href="http://www.fsc.bg/en">http://www.fsc.bg/en</a>	On the main page, the Markets tab provides a drop down menu for Capital Market, Insurance Market and Social Insurance Market. Each of these sub menus include an option for Supervised Entities. Select as appropriate.
Canada: Quebec	Autorité des marchés financiers (AMF) - Quebec	<a href="https://lautorite.qc.ca/en/general-public/">https://lautorite.qc.ca/en/general-public/</a>	<a href="https://lautorite.qc.ca/en/general-public/registers/">https://lautorite.qc.ca/en/general-public/registers/</a>
Canada: British Columbia	British Columbia Securities Commission	<a href="http://www.bcsc.bc.ca/">http://www.bcsc.bc.ca/</a>	<a href="http://www.securities-administrators.ca/nrs/nrsearchprep.aspx">http://www.securities-administrators.ca/nrs/nrsearchprep.aspx</a>
Canada	Canadian Securities Administrators	<a href="https://www.securities-administrators.ca/">https://www.securities-administrators.ca/</a>	<a href="http://www.securities-administrators.ca/nrs/nrsearchprep.aspx">http://www.securities-administrators.ca/nrs/nrsearchprep.aspx</a>  The Canadian Securities Administrators (CSA) is an umbrella organization of Canada's provincial and territorial securities regulators. It is possible to search for registered firms and individuals.

## Index of Industry Regulators by Country (C)

Country	Regulator	Website Home	Website and Supervised Entities
Canada	Investment Industry Regulatory Organization of Canada	<a href="http://www.iiroc.ca/Pages/default.aspx">http://www.iiroc.ca/Pages/default.aspx</a>	See below:
		Regulated Dealer Firms	<a href="http://www.iiroc.ca/industry/Pages/Dealers-We-Regulate.aspx">http://www.iiroc.ca/industry/Pages/Dealers-We-Regulate.aspx</a>
		Regulated Exchanges and ATSS	<a href="http://www.iiroc.ca/industry/Pages/Equity-Marketplaces-We-Regulate.aspx">http://www.iiroc.ca/industry/Pages/Equity-Marketplaces-We-Regulate.aspx</a>
		Regulated Individuals	<a href="http://www.iiroc.ca/investors/knowyouradvisor/Pages/default.aspx">http://www.iiroc.ca/investors/knowyouradvisor/Pages/default.aspx</a>
Canada	Mutual Fund Dealers Association	<a href="http://mfda.ca/">http://mfda.ca/</a>	<a href="http://mfda.ca/members/directory-of-members/">http://mfda.ca/members/directory-of-members/</a> National self-regulatory organization (SRO) that oversees mutual fund dealers in Canada.
Canada	Office of the Superintendent of Financial Institutions (OSFI)	<a href="http://www.osfi-bsif.gc.ca/Eng/Pages/default.aspx">http://www.osfi-bsif.gc.ca/Eng/Pages/default.aspx</a>	<a href="http://www.osfi-bsif.gc.ca/Eng/wt-ow/Pages/wwr-er.aspx">http://www.osfi-bsif.gc.ca/Eng/wt-ow/Pages/wwr-er.aspx</a> Links to a list of Federally Regulated Financial Institutions.
Canada: Ontario	Ontario Securities Commission	<a href="http://www.osc.gov.on.ca/">http://www.osc.gov.on.ca/</a>	As per Canadian Securities Administrators (above).
Chile	Superintendencia de Valores y Seguros (SVS)	<a href="http://www.svs.cl/portal/principal/605/w3-channel.html">http://www.svs.cl/portal/principal/605/w3-channel.html</a>	Spanish Language
China	China Banking Regulatory Commission (CBRC)	<a href="http://www.cbrc.gov.cn/english/index.html">http://www.cbrc.gov.cn/english/index.html</a>	Lists not available on English Language page
China	China Insurance Regulatory Commission	<a href="http://circ.gov.cn/web/site45/tab2727/">http://circ.gov.cn/web/site45/tab2727/</a>	Lists not available on English Language page
China	China Securities Regulatory Commission (CSRC)	<a href="http://www.csrc.gov.cn/pub/csrc_en/">http://www.csrc.gov.cn/pub/csrc_en/</a>	Company lists can be found at the base of the main page on the left hand side.
Colombia	Financial Superintendence of Colombia	<a href="https://en.superfinanciera.gov.co/jsp/index.jsf">https://en.superfinanciera.gov.co/jsp/index.jsf</a>	<a href="https://en.superfinanciera.gov.co/jsp/loader.jsf?IServicio=Publicaciones&amp;ITipo=publicaciones&amp;IFuncion=loadContenidoPublicacion&amp;id=10061694">https://en.superfinanciera.gov.co/jsp/loader.jsf?IServicio=Publicaciones&amp;ITipo=publicaciones&amp;IFuncion=loadContenidoPublicacion&amp;id=10061694</a>



## Index of Industry Regulators by Country (C)

Country	Regulator	Website Home	Website and Supervised Entities
Colombia	Financial Superintendence of Colombia	<a href="https://en.superfinanciera.gov.co/jsp/index.jsf">https://en.superfinanciera.gov.co/jsp/index.jsf</a>	<a href="https://en.superfinanciera.gov.co/jsp/loader.jsf?lServicio=Publicaciones&amp;lTipo=publicaciones&amp;lFuncion=loadContenidoPublicacion&amp;id=10061694">https://en.superfinanciera.gov.co/jsp/loader.jsf?lServicio=Publicaciones&amp;lTipo=publicaciones&amp;lFuncion=loadContenidoPublicacion&amp;id=10061694</a>
Costa Rica	Superintendencia General de Entidades Financieras (SUGEF)	<a href="https://www.sugef.fi.cr/">https://www.sugef.fi.cr/</a>	<a href="https://www.sugef.fi.cr/publicaciones/">https://www.sugef.fi.cr/publicaciones/</a>  Spanish language: For list of entities select "Listado de Entidades sujetas a fiscalizacion".
Costa Rica	Superintendencia General de Seguros (SUGESE)	<a href="http://www.sugese.fi.cr/">http://www.sugese.fi.cr/</a>	<a href="http://www.sugese.fi.cr/mercado_seguros/sociedades_agencia/index.html">http://www.sugese.fi.cr/mercado_seguros/sociedades_agencia/index.html</a>  Spanish Language
Costa Rica	Superintendencia General de valores (SUGEVAL)	<a href="https://www.sugeval.fi.cr/Paginas/Inicio.aspx">https://www.sugeval.fi.cr/Paginas/Inicio.aspx</a>	<a href="https://www.sugeval.fi.cr/participantesyproductos/Paginas/Participantes/default.aspx">https://www.sugeval.fi.cr/participantesyproductos/Paginas/Participantes/default.aspx</a>
Cote d'Ivoire (Ivory Coast)	Banque Centrale des Etats de l'Afrique de l'Ouest (BCEAO) / Central Bank of the States of West Africa	<a href="http://www.bceao.int/">http://www.bceao.int/</a>	<a href="http://www.bceao.int/Intermediaires-en-Operations-de-Banques.html">http://www.bceao.int/Intermediaires-en-Operations-de-Banques.html</a>  French language: Central Bank of West African States, includes: Benin, Burkino Faso, Guinea-Bissau, Ivory Coast (Côte d'Ivoire), Mali, Niger, Senegal and Togo.  Link is to a list of Intermediaries in Banking Operations across the 8 regions.
Curaçao	Centrale Bank van Curaçao en Sint Maarten	<a href="http://www.centralbank.cw/">http://www.centralbank.cw/</a>	<a href="http://www.centralbank.cw/index.php?eid=1094">http://www.centralbank.cw/index.php?eid=1094</a>  For regulated entities - select link on the lower left side. Select the appropriate financial sector from highlighted menu.
Cyprus	Insurance Companies Control Service	<a href="http://mof.gov.cy/en/publications/1-insurance-companies-control-service">http://mof.gov.cy/en/publications/1-insurance-companies-control-service</a>	<a href="http://mof.gov.cy/en/publications/1-insurance-companies-control-service/regulated-entities">http://mof.gov.cy/en/publications/1-insurance-companies-control-service/regulated-entities</a>

## Index of Industry Regulators by Country (C - E)

Country	Regulator	Website Home	Website and Supervised Entities
Czech Republic	Czech National Bank	<a href="https://www.cnb.cz/en/">https://www.cnb.cz/en/</a>	<a href="https://apl.cnb.cz/apljerrsdad/JERRS.WEB07.INTRO_PAGE?p_lang=en">https://apl.cnb.cz/apljerrsdad/JERRS.WEB07.INTRO_PAGE?p_lang=en</a>
Denmark	Finanstilsynet	<a href="https://www.finanstilsynet.dk/">https://www.finanstilsynet.dk/</a>	<a href="http://vut.finanstilsynet.dk/en/Tal-og-fakta/Virksomheder-under-tilsyn/VUT-database.aspx">http://vut.finanstilsynet.dk/en/Tal-og-fakta/Virksomheder-under-tilsyn/VUT-database.aspx</a> Search function for enterprises under the supervision of Finanstilsynet (Dansih FSA)
Dominican Republic	Central Bank of the Dominican Republic / Banco Central de la República Dominicana	<a href="https://www.bancentral.gov.do/english/">https://www.bancentral.gov.do/english/</a>	See Superintendencia de Bancos de la República Dominicana
Dominican Republic	Superintendencia de Bancos de la República Dominicana	<a href="http://www.sb.gob.do/">http://www.sb.gob.do/</a>	<a href="http://www.sb.gob.do/entidades">http://www.sb.gob.do/entidades</a> Spanish Language
Ecuador	Superintendencia de Compañías, Valores y Seguros	<a href="http://www.supercias.gov.ec/portalscvsv/">http://www.supercias.gov.ec/portalscvsv/</a>	Spanish Language
Egypt	Egyptian Financial Services Authority (EFSA)	<a href="http://www.efsa.gov.eg/jtag/efsa_en/index.en.jspe">http://www.efsa.gov.eg/jtag/efsa_en/index.en.jspe</a>	Menu on the left hand side : "Non-Banking Financial Markets." Choose the appropriate industry type. This will take you to a specialised page at the top of which is a page with a linked menu. One of the options will be "Registers" with a drop down menu listing out registered individuals and entities.
El Salvadore	Superintendencia del Sistema Financiero El Salvadore (SSF)	<a href="http://www.ssf.gob.sv/">http://www.ssf.gob.sv/</a>	<a href="http://www.ssf.gob.sv/index.php/temas/entidades-supervisadas">http://www.ssf.gob.sv/index.php/temas/entidades-supervisadas</a> Spanish Language
Estonia	Eesti Pank / National Bank of Estonia	<a href="https://www.eestipank.ee/en">https://www.eestipank.ee/en</a>	
Estonia	Estonian Financial Supervision Authority / Finantsinspektion	<a href="https://www.fi.ee/?lang=en">https://www.fi.ee/?lang=en</a>	<a href="https://www.fi.ee/?id=593">https://www.fi.ee/?id=593</a>

## Index of Industry Regulators by Country (F - G)

Country	Regulator	Website Home	Website and Supervised Entities
Faroe Islands	Tryggingareftirlitið / Faroe Islands Insurance Authority	<a href="http://www.tryggingareftirlitid.fo/fo/english/about-us/">http://www.tryggingareftirlitid.fo/fo/english/about-us/</a>	<a href="http://www.tryggingareftirlitid.fo/fo/english/supervised-activities/">http://www.tryggingareftirlitid.fo/fo/english/supervised-activities/</a> National financial supervisory authority of the Faroe Islands with jurisdiction over Insurance companies, Pension Funds, and one Mortgage Credit Institution. The remaining areas of the financial supervision are under the Financial Supervisory Authority of Denmark.
Finland	Finanssivalvonta / Financial Supervisory Authority	<a href="http://www.fin-fsa.fi/en/pages/default.aspx">http://www.fin-fsa.fi/en/pages/default.aspx</a>	<a href="http://www.finanssivalvonta.fi/en/About_us/Supervised/Pages/supervisedentities.aspx">http://www.finanssivalvonta.fi/en/About_us/Supervised/Pages/supervisedentities.aspx</a> Type in Company Name and tick box marked "Undertakings operating in the Financial Market".
France	Autorité de contrôle prudentiel et de résolution (ACPR)	<a href="https://acpr.banque-france.fr/en/acpr/about-the-acpr.html">https://acpr.banque-france.fr/en/acpr/about-the-acpr.html</a>	<a href="https://acpr.banque-france.fr/en/licences-and-authorisations.html">https://acpr.banque-france.fr/en/licences-and-authorisations.html</a> The Autorité de contrôle prudentiel et de résolution (ACPR) is responsible for supervising the banking and insurance sectors in France.
Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)	<a href="https://www.bafin.de/EN/Homepage/homepage_node.html">https://www.bafin.de/EN/Homepage/homepage_node.html</a>	<a href="https://portal.mvp.bafin.de/database/InstInfo/">https://portal.mvp.bafin.de/database/InstInfo/</a> German Language
Ghana	Bank of Ghana	<a href="https://www.bog.gov.gh/">https://www.bog.gov.gh/</a>	<a href="https://www.bog.gov.gh/supervision-a-regulation/register-of-licensed-institutions">https://www.bog.gov.gh/supervision-a-regulation/register-of-licensed-institutions</a>
Ghana	Securities and Exchange Commission Ghana	<a href="https://sec.gov.gh/">https://sec.gov.gh/</a>	<a href="https://sec.gov.gh/licensees/">https://sec.gov.gh/licensees/</a>
Gibraltar	Gibraltar Financial Services Commission (GFSC)	<a href="http://www.fsc.gi/">http://www.fsc.gi/</a>	<a href="http://www.fsc.gi/regulated-entities">http://www.fsc.gi/regulated-entities</a>

## Index of Industry Regulators by Country (G – H)

Country	Regulator	Website Home	Website and Supervised Entities
Greece	Bank of Greece	<a href="http://www.bankofgreece.gr/Pages/en/default.aspx">http://www.bankofgreece.gr/Pages/en/default.aspx</a>	<a href="http://www.bankofgreece.gr/Pages/en/Supervision/SupervisedInstitutions/default.aspx">http://www.bankofgreece.gr/Pages/en/Supervision/SupervisedInstitutions/default.aspx</a>
Greece	Hellenic Capital Market Commission (HCMC)	<a href="http://www.hcmc.gr/en_US/web/portal/home">http://www.hcmc.gr/en_US/web/portal/home</a>	<a href="http://www.hcmc.gr/en_US/web/portal/supforagoras">http://www.hcmc.gr/en_US/web/portal/supforagoras</a>
Grenada	Grenada Authority for the Regulation of Financial Institutions (GARFIN)	<a href="http://www.garfin.grenada.org/">http://www.garfin.grenada.org/</a>	See Regulated Sectors tab and drop down menu on main page.
Guatemala	Superintendencia de Bancos de Guatemala (SIB)	<a href="http://www.sib.gob.gt/web/sib/inicio">http://www.sib.gob.gt/web/sib/inicio</a>	<a href="http://www.sib.gob.gt/web/sib/entidades_supervisadas/listas-de-entidades_supervisadas?p_p_id=88&amp;p_p_action=1&amp;p_p_state=normal&amp;p_p_mode=view&amp;p_p_col_id=&amp;p_p_col_pos=1&amp;p_p_col_count=3&amp;">http://www.sib.gob.gt/web/sib/entidades_supervisadas/listas-de-entidades_supervisadas?p_p_id=88&amp;p_p_action=1&amp;p_p_state=normal&amp;p_p_mode=view&amp;p_p_col_id=&amp;p_p_col_pos=1&amp;p_p_col_count=3&amp;</a>  Spanish Language
Guernsey	Guernsey Financial Services Commission (GFSC)	<a href="https://www.gfsc.gg/">https://www.gfsc.gg/</a>	<a href="https://www.gfsc.gg/commission/regulated-entities">https://www.gfsc.gg/commission/regulated-entities</a>  Search function for Guernsey Financial Services Commission Regulated entities - Banking, Insurance, Fiduciary and Investment license holders.
Honduras	Comisión Nacional de Bancos y Seguros de Honduras (CNBS)	<a href="http://www.cnbs.gob.hn/">http://www.cnbs.gob.hn/</a>	Spanish Language: On the main page, go to the "Informacion Financiera" tab, from the drop down menu select "Listado de Instituciones Supervisadas". This will return a printable list of the current supervised entities.
Hong Kong	Hong Kong Monetary Authority (HKMA)	<a href="http://www.hkma.gov.hk/eng/index.shtml">http://www.hkma.gov.hk/eng/index.shtml</a>	<a href="http://www.hkma.gov.hk/eng/other-information/registers.shtml">http://www.hkma.gov.hk/eng/other-information/registers.shtml</a>

## Index of Industry Regulators by Country (H - I)

Country	Regulator	Website Home	Website and Supervised Entities
Hungary	Magyar Nemzeti Bank (MNB)	<a href="https://www.mnb.hu/en">https://www.mnb.hu/en</a>	<a href="https://www.mnb.hu/en/supervision/licensing-and-institution-oversight/market-participants">https://www.mnb.hu/en/supervision/licensing-and-institution-oversight/market-participants</a> Hungarian Central Bank took over supervisory powers from the Hungarian Financial Supervisory Authority (HFSA) in 2013.
Iceland	Financial Supervisory Authority / Fjármálaeftirlitið (FME)	<a href="https://en.fme.is/">https://en.fme.is/</a>	<a href="https://en.fme.is/supervision/supervised-entities/">https://en.fme.is/supervision/supervised-entities/</a>
India	Forward Markets Commission (FMC)	Merged with SEBI in 28/09/2015	Merged with SEBI in 28/09/2016
India	Insurance Regulatory and Development Authority of India (IRDAI)	<a href="https://www.irdai.gov.in/DefaultHome.aspx?page=H1">https://www.irdai.gov.in/DefaultHome.aspx?page=H1</a>	From the main English language page, look at the menu on the left hand side of the page, select the "Insurance" drop down, select the type of Insurer - choose "List of Insurers".
India	Pension Fund Regulatory and Development Authority (PFRDA)	<a href="http://www.pfrda.org.in/">http://www.pfrda.org.in/</a>	See Intermediaries tab on English language main page.
India	Reserve Bank of India	<a href="https://www.rbi.org.in/">https://www.rbi.org.in/</a>	<a href="http://www.sebi.gov.in/intermediaries.html">http://www.sebi.gov.in/intermediaries.html</a> Central Bank of India
India	Securities and Exchange Board of India (SEBI)	<a href="http://www.sebi.gov.in/">http://www.sebi.gov.in/</a>	<a href="http://www.sebi.gov.in/intermediaries.html">http://www.sebi.gov.in/intermediaries.html</a>
Indonesia	Bank Sentral Republik Indonesia / Bank Indonesia	<a href="http://www.bi.go.id/en/Default.aspx">http://www.bi.go.id/en/Default.aspx</a>	Central Bank of Indonesia.
Indonesia	Otoritas Jasa Keuangan (OJK) / Financial Services Authority of Indonesia	<a href="http://www.ojk.go.id/en/default.aspx">http://www.ojk.go.id/en/default.aspx</a>	From the main English language page, select the "Business" tab, then select the "Data and Statistic" tab. This will produce a drop down menu of data by industry.

## Index of Industry Regulators by Country (I)

Country	Regulator	Website Home	Website and Supervised Entities
Iraq	Iraq Securities Commission, (ISC)	<a href="http://www.isc.gov.iq/en">http://www.isc.gov.iq/en</a>	See below: Companies listed may not be directly supervised
		Brokerage	<a href="http://www.isc.gov.iq/en/node/34">http://www.isc.gov.iq/en/node/34</a>
		Listed Companies	<a href="http://www.isc.gov.iq/en/node/1225">http://www.isc.gov.iq/en/node/1225</a>
Ireland	Central Bank of Ireland	<a href="https://www.centralbank.ie/">https://www.centralbank.ie/</a>	<a href="http://registers.centralbank.ie/FirmSearchPage.aspx">http://registers.centralbank.ie/FirmSearchPage.aspx</a> Broad search facility covering Financial Service Providers and Collective Investment Schemes.
Isle of Man	Isle of Man Financial Services Authority (IOMFSA)	<a href="https://www.iomfisa.im/">https://www.iomfisa.im/</a>	<a href="https://www.iomfisa.im/register-search/">https://www.iomfisa.im/register-search/</a> Broad search facility covering all licenses issued by the IOMFSA.
Isle of Man	Insurance and Pensions Authority (IPA)	Merged with IOMFSA	Merged with IOMFSA
Israel	Bank of Israel	<a href="http://www.boi.org.il/en/Pages/Default.aspx">http://www.boi.org.il/en/Pages/Default.aspx</a>	<a href="http://www.boi.org.il/en/BankingSupervision/BanksAndBranchLocations/Pages/IsraeliBanks.aspx">http://www.boi.org.il/en/BankingSupervision/BanksAndBranchLocations/Pages/IsraeliBanks.aspx</a> Regulates Banks, Credit Card companies and Trust companies.
Israel	Israel Securities Authority (ISA)	<a href="http://www.isa.gov.il/sites/ISAEng/Pages/default.aspx">http://www.isa.gov.il/sites/ISAEng/Pages/default.aspx</a>	<a href="http://www.isa.gov.il/sites/ISAEng/Supervised%20Departments/Pages/default.aspx">http://www.isa.gov.il/sites/ISAEng/Supervised%20Departments/Pages/default.aspx</a> Regulates stock exchange members and portfolio managers
Israel	Ministry of Finance	<a href="http://www.financeisrael.mof.gov.il/FinanceIsrael/Pages/En/Home.aspx">http://www.financeisrael.mof.gov.il/FinanceIsrael/Pages/En/Home.aspx</a>	Regulates MSPs, Insurance Agents and Providence Funds.

## Index of Industry Regulators by Country (I – K)

Country	Regulator	Website Home	Website and Supervised Entities
Italy	Commissione Nazionale per le Società e la Borsa (CONSOB)	<a href="http://www.consob.it/mainen/index.html">http://www.consob.it/mainen/index.html</a>	<a href="http://www.consob.it/mainen/intermediaries/investments_firms/index.html">http://www.consob.it/mainen/intermediaries/investments_firms/index.html</a> Entity search link defaults to Investment Firms. The menu on the left hand side allows access to lists of Issuers and Markets based entities.
Italy	Istituto per la Vigilanza sulle Assicurazioni (IVASS) / Italian Institute for the Supervision of Insurance	<a href="https://www.ivass.it/">https://www.ivass.it/</a>	<a href="https://www.ivass.it/operatori/imprese/albi/index.html?com_dotmarketing.htmlpage.language=3">https://www.ivass.it/operatori/imprese/albi/index.html?com_dotmarketing.htmlpage.language=3</a>
Jamaica	Financial Services Commission, Jamaica	<a href="http://www.fscjamaica.org/">http://www.fscjamaica.org/</a>	See below:
		Insurance	<a href="http://www.fscjamaica.org/regulated-industries/content-1200.html">http://www.fscjamaica.org/regulated-industries/content-1200.html</a>
		Securities	<a href="http://www.fscjamaica.org/regulated-industries/content-1201.html">http://www.fscjamaica.org/regulated-industries/content-1201.html</a>
		Pensions	<a href="http://www.fscjamaica.org/regulated-industries/content-1202.html">http://www.fscjamaica.org/regulated-industries/content-1202.html</a>
Japan	Bank of Japan	<a href="https://www.boj.or.jp/en/index.html/">https://www.boj.or.jp/en/index.html/</a>	See Japanese FSA
Japan	Financial Services Agency	<a href="http://www.fsa.go.jp/en/">http://www.fsa.go.jp/en/</a>	<a href="http://www.fsa.go.jp/en/regulated/licensed/index.html">http://www.fsa.go.jp/en/regulated/licensed/index.html</a>
Japan	Securities and Exchange Surveillance Commission	<a href="http://www.fsa.go.jp/sec/english/">http://www.fsa.go.jp/sec/english/</a>	See Japanese FSA
Jersey	Jersey Financial Services Commission (JFSC)	<a href="https://www.jerseyfsc.org/">https://www.jerseyfsc.org/</a>	<a href="https://www.jerseyfsc.org/the-commission/">https://www.jerseyfsc.org/the-commission/</a> Regulated entities are separated by business type
Jordan	Jordan Securities Commission (JSC)	<a href="http://www.jsc.gov.jo/public/mainEnglish.aspx?page_id=1454">http://www.jsc.gov.jo/public/mainEnglish.aspx?page_id=1454</a>	<a href="http://www.jsc.gov.jo/public/english.aspx?site_id=1&amp;Lang=3&amp;site_id=1&amp;page_id=2228&amp;menu_id=181">http://www.jsc.gov.jo/public/english.aspx?site_id=1&amp;Lang=3&amp;site_id=1&amp;page_id=2228&amp;menu_id=181</a>
Kazakhstan	National Bank of Kazakhstan	<a href="http://www.nationalbank.kz/?&amp;switch=english">http://www.nationalbank.kz/?&amp;switch=english</a>	<a href="http://www.nationalbank.kz/?docid=611&amp;switch=english">http://www.nationalbank.kz/?docid=611&amp;switch=english</a>

## Index of Industry Regulators by Country (K – L)

Country	Regulator	Website Home	Website and Supervised Entities
Kenya	Capital Markets Authority of Kenya (CMA)	<a href="https://www.cma.or.ke/">https://www.cma.or.ke/</a>	<a href="https://www.cma.or.ke/index.php/licensing-approvals/list-of-licensees">https://www.cma.or.ke/index.php/licensing-approvals/list-of-licensees</a>
Korea (South)	Financial Services Commission (FSC)	<a href="http://www.fsc.go.kr/eng/">http://www.fsc.go.kr/eng/</a>	See FSS
Korea (South)	Financial Supervisory Service (FSS)	<a href="http://english.fss.or.kr/fs/eng/main.jsp">http://english.fss.or.kr/fs/eng/main.jsp</a>	<a href="http://english.fss.or.kr/fss/eng/wpge/eng211.jsp">http://english.fss.or.kr/fss/eng/wpge/eng211.jsp</a>
Latvia	Financial and Capital Market Commission	<a href="http://www.fktk.lv/en/">http://www.fktk.lv/en/</a>	<a href="http://www.fktk.lv/en/market/credit-institutions/banks1.html">http://www.fktk.lv/en/market/credit-institutions/banks1.html</a>
Lebanon	Banking Control Commission of Lebanon (BCCL)	<a href="http://www.bccl.gov.lb/">http://www.bccl.gov.lb/</a>	On the English language main page, select the Publications drop-down menu. Towards the bottom of the menu are various lists of financial entity types
Liechtenstein	Finanzmarktaufsicht Liechtenstein / Financial Market Authority (Liechtenstein)	<a href="https://www.fma-li.li/en/">https://www.fma-li.li/en/</a>	<a href="https://www.fma-li.li/en/financial-centre/licences-and-authorizations.html">https://www.fma-li.li/en/financial-centre/licences-and-authorizations.html</a>
Lithuania	Lietuvos Bankas / Bank of Lithuania	<a href="https://www.lb.lt/en/">https://www.lb.lt/en/</a>	<a href="https://www.lb.lt/en/licences">https://www.lb.lt/en/licences</a>
Luxembourg	Commissariat aux Assurances (CAA)	<a href="http://www.commassu.lu/">http://www.commassu.lu/</a>	<a href="http://www.commassu.lu/fr/operators/default.asp?id=%7BF68291E6-F42E-4C0B-A6E9-F7800DAA9933%7D">http://www.commassu.lu/fr/operators/default.asp?id=%7BF68291E6-F42E-4C0B-A6E9-F7800DAA9933%7D</a>
Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	<a href="http://www.cssf.lu/en/">http://www.cssf.lu/en/</a>	<a href="https://supervisedentities.apps.cssf.lu/index.html?language=en#Home">https://supervisedentities.apps.cssf.lu/index.html?language=en#Home</a>  Link is to search page for Supervised Entities.



## Index of Industry Regulators by Country (M – N)

Country	Regulator	Website Home	Website and Supervised Entities
Malaysia	Suruhanjaya Sekuriti Malaysia / Securities Commission Malaysia	<a href="https://www.sc.com.my/">https://www.sc.com.my/</a>	<a href="https://www.sc.com.my/licensing/public-register-of-licence-holders/">https://www.sc.com.my/licensing/public-register-of-licence-holders/</a>
Malta	Malta Financial Services Authority (MFSA)	<a href="https://www.mfsa.com.mt/">https://www.mfsa.com.mt/</a>	<a href="https://www.mfsa.com.mt/pages/licenceholders.aspx">https://www.mfsa.com.mt/pages/licenceholders.aspx</a>
Mauritius	Bank of Mauritius	<a href="https://www.bom.mu/">https://www.bom.mu/</a>	<a href="https://www.bom.mu/financial-stability/supervision/licensees/list-of-licences">https://www.bom.mu/financial-stability/supervision/licensees/list-of-licences</a>
Mauritius	Financial Services Commission (FSC)	<a href="https://www.fscmauritius.org/en">https://www.fscmauritius.org/en</a>	<a href="https://www.fscmauritius.org/en/being-supervised/register-of-licensees">https://www.fscmauritius.org/en/being-supervised/register-of-licensees</a>
Mexico	Comisión Nacional Bancaria y de Valores (CNBV)	<a href="https://www.gob.mx/cnbv">https://www.gob.mx/cnbv</a>	<a href="http://www.cnbv.gob.mx/Paginas/BusquedaEntidades.aspx">http://www.cnbv.gob.mx/Paginas/BusquedaEntidades.aspx</a>  Spanish Language
Montenegro	Central Bank of Montenegro	<a href="http://www.cb-cg.org/eng/">http://www.cb-cg.org/eng/</a>	Page under construction (Accurate November 2017)
Montenegro	Insurance Supervision Agency (ISA)	<a href="https://www.ano.me/en/">https://www.ano.me/en/</a>	<a href="https://www.ano.me/en/index.php/register">https://www.ano.me/en/index.php/register</a>
Montserrat	Financial Services Commission	<a href="http://www.fscmontserrat.org/">http://www.fscmontserrat.org/</a>	<a href="http://www.fscmontserrat.org/regulated-entities/">http://www.fscmontserrat.org/regulated-entities/</a>
Morocco	L'Autorité Marocaine du Marché des Capitaux (AMMC)	<a href="http://www.ammc.ma/">http://www.ammc.ma/</a>	<a href="http://www.ammc.ma/en/verifier-un-agrement-un-visa">http://www.ammc.ma/en/verifier-un-agrement-un-visa</a>  Formerly the Conseil Deontological des Securities ( CDVM).
Netherlands	Autoriteit Financiële Markten (AFM)	<a href="https://www.afm.nl/en">https://www.afm.nl/en</a>	<a href="https://www.afm.nl/en/professionals/registers/vergunningenregisters">https://www.afm.nl/en/professionals/registers/vergunningenregisters</a>  Link is to search page for Licensed Entities.
Netherlands	De Nederlandsche Bank	<a href="https://www.dnb.nl/en/home/">https://www.dnb.nl/en/home/</a>	<a href="https://www.dnb.nl/en/supervision/consumer-and-supervision/registers/index.jsp">https://www.dnb.nl/en/supervision/consumer-and-supervision/registers/index.jsp</a>

## Index of Industry Regulators by Country (N - P)

Country	Regulator	Website Home	Website and Supervised Entities
New Zealand	Financial Markets Authority (FMA)	<a href="https://fma.govt.nz/">https://fma.govt.nz/</a>	<a href="https://fma.govt.nz/compliance/lists-and-registers/">https://fma.govt.nz/compliance/lists-and-registers/</a> Link is to lists / registers of Authorised Financial Advisers, Brokers and Custodians, Fund Managers, Independent Trustees
Nigeria	Securities and Exchange Commission	<a href="http://sec.gov.ng/">http://sec.gov.ng/</a>	Go to the "Regulation" drop down menu. Select "List of Capital Market Operators".
Norway	Finanstilsynet	<a href="https://www.finanstilsynet.no/en/">https://www.finanstilsynet.no/en/</a>	<a href="https://www.finanstilsynet.no/en/finanstilsynets-registry/">https://www.finanstilsynet.no/en/finanstilsynets-registry/</a> Filter by industry and location.
Oman	Capital Market Authority, Sultanate of Oman	<a href="https://www.cma.gov.om/">https://www.cma.gov.om/</a>	
Pakistan	Securities and Exchange Commission of Pakistan (SECP)	<a href="https://www.secp.gov.pk/">https://www.secp.gov.pk/</a>	<a href="https://www.secp.gov.pk/data-and-statistics/corporates/">https://www.secp.gov.pk/data-and-statistics/corporates/</a>
Panama	Superintendencia del Mercado de Valores de Panamá (SMV)	<a href="http://www.supervalores.gob.pa/">http://www.supervalores.gob.pa/</a>	<a href="http://www.supervalores.gob.pa/iper-smv/informacion-de-personas-juridicas-o-entes-regulados#casa-de-valores">http://www.supervalores.gob.pa/iper-smv/informacion-de-personas-juridicas-o-entes-regulados#casa-de-valores</a>
Peru	Superintendencia de Banca, Seguros y AFP	<a href="http://www.sbs.gob.pe/">http://www.sbs.gob.pe/</a>	Spanish Language: Lists of authorised / regulated entities can be found via the following menu sections (on the left side, main page): "Sistema Financiero" (Financial System), "Sistema Privado de Pensiones" (Private Pension System), "Entidades en Liquidacion" (Liquidation Entities), "Sistema de Seguros" (Securities System).
Philippines	Bangko Sentral ng Pilipinas / Central Bank of the Philippines	<a href="http://www.bsp.gov.ph/">http://www.bsp.gov.ph/</a>	<a href="http://www.bsp.gov.ph/banking/directories.asp">http://www.bsp.gov.ph/banking/directories.asp</a>

## Index of Industry Regulators by Country (P – R)

Country	Regulator	Website Home	Website and Supervised Entities
Philippines	Department of Finance / Insurance Commission	<a href="https://www.insurance.gov.ph/">https://www.insurance.gov.ph/</a>	<a href="https://www.insurance.gov.ph/regulated-entities/">https://www.insurance.gov.ph/regulated-entities/</a>
Philippines	Komisyon sa mga Panagot at Palitan / Securities and Exchange Commission (Philippines)	<a href="http://www.sec.gov.ph/">http://www.sec.gov.ph/</a>	<a href="http://www.sec.gov.ph/public-information-2/registered-firms-individuals-and-statistics/registered-firms-and-individuals/">http://www.sec.gov.ph/public-information-2/registered-firms-individuals-and-statistics/registered-firms-and-individuals/</a>
Poland	Komisja Nadzoru Finansowego (KNF) / Polish Financial Supervision Authority	<a href="https://www.knf.gov.pl/en/">https://www.knf.gov.pl/en/</a>	<a href="https://www.knf.gov.pl/en/ENTITIES">https://www.knf.gov.pl/en/ENTITIES</a> Link opens to Entity Search [Polish language]
Portugal	Comissão do Mercado de Valores Mobiliários (CMVM)	<a href="http://www.cmvm.pt/en/Pages/homepage.aspx">http://www.cmvm.pt/en/Pages/homepage.aspx</a>	<a href="http://www.cmvm.pt/en/Pages/homepage.aspx">http://www.cmvm.pt/en/Pages/homepage.aspx</a> Link page includes search boxes for Issuing Entities, Financial Intermediaries and Investment Funds
Qatar	Qatar Financial Markets Authority (QFMA)	<a href="https://www.qfma.org.qa/English/Home.aspx">https://www.qfma.org.qa/English/Home.aspx</a>	<a href="https://www.qfma.org.qa/English/Financial.aspx?id=6">https://www.qfma.org.qa/English/Financial.aspx?id=6</a>
Republic of Macedonia	National Bank of the Republic of Macedonia	<a href="http://www.nbrm.mk/pocetna-en.nspx">http://www.nbrm.mk/pocetna-en.nspx</a>	<a href="http://www.nbrm.mk/bankarski_sistem_na_rm-en.nspx">http://www.nbrm.mk/bankarski_sistem_na_rm-en.nspx</a>
Republic of Macedonia	Securities and Exchange Commission of the Republic of Macedonia	<a href="http://www.sec.gov.mk/Pages/Home.aspx">http://www.sec.gov.mk/Pages/Home.aspx</a>	Macedonian Language: Registers are by industry types. On the central panel entitled "Учесници". Each sub category links to an industry type with accompanying registers (amongst other detail). "Брокери" (Brokers), "Брокерски куќи" (Brokerage Houses), "Овластени банки" (Authorised Banks), "Депозитарни банки" (Depository Banks), "Друштва за управување со инвестициски фондови" (Investment and Fund Management Companies), "Отворени фондови" (Open Funds), "Приватни фондови" (Private Funds), "Инвестициски советници" (Investment Advisers).

## Index of Industry Regulators by Country (R – S)

Country	Regulator	Website Home	Website and Supervised Entities
Romania	Autoritatea de Supraveghere Financiară / Financial Supervisory Authority	<a href="https://asfromania.ro/en/">https://asfromania.ro/en/</a>	Select the "Supervision" drop down menu, then "Registries" and then choose the appropriate industry sector.
Russia	Central Bank of the Russian Federation / Central Bank of Russia	<a href="https://www.cbr.ru/eng/">https://www.cbr.ru/eng/</a>	
Russia	Federal Financial Markets Service (FFMS)	Disbanded in 2013	Disbanded in 2013
Saint Kitts and Nevis	Nevis Financial Services Regulatory Commission	<a href="http://www.nevisfsrc.com/">http://www.nevisfsrc.com/</a>	<a href="http://www.nevisfsrc.com/regulated-entities">http://www.nevisfsrc.com/regulated-entities</a>
Saint Lucia	Financial Services Regulatory Authority	<a href="http://fsrastlucia.org/">http://fsrastlucia.org/</a>	The regulated entity lists are divided by industry sector.
Saint Vincent and the Grenadines	Financial Services Authority, St Vincent and the Grenadines	<a href="http://svgfsa.com/">http://svgfsa.com/</a>	<a href="http://svgfsa.com/fin_institutions.html">http://svgfsa.com/fin_institutions.html</a>
San Marino	Banca Centrale della Repubblica di San Marino / Central Bank of the Republic of San Marino	<a href="http://www.bcsm.sm/site/en/home.html">http://www.bcsm.sm/site/en/home.html</a>	<a href="http://www.bcsm.sm/site/en/home/functions/registers/articolo433.html">http://www.bcsm.sm/site/en/home/functions/registers/articolo433.html</a>
Saudi Arabia	Capital Market Authority - Saudi Arabia (CMA)	<a href="https://cma.org.sa/en/Pages/default.aspx">https://cma.org.sa/en/Pages/default.aspx</a>	<a href="https://cma.org.sa/en/Market/AuthorisedPersons/Pages/default.aspx">https://cma.org.sa/en/Market/AuthorisedPersons/Pages/default.aspx</a>
Serbia	The Republic of Serbia Securities Commission	<a href="http://www.sec.gov.rs/index.php/en/">http://www.sec.gov.rs/index.php/en/</a>	Links to lists of registered entities are on the left side of the page.

## Index of Industry Regulators by Country (S)

Country	Regulator	Website Home	Website and Supervised Entities
Seychelles	Central Bank of Seychelles	<a href="http://www.cbs.sc/">http://www.cbs.sc/</a>	<a href="http://www.cbs.sc/Financial/banklist.jsp">http://www.cbs.sc/Financial/banklist.jsp</a>
Singapore	Accounting and Corporate Regulatory Authority (ACRA)	<a href="https://www.acra.gov.sg/about_Acra/">https://www.acra.gov.sg/about_Acra/</a>	<p><a href="https://www.tis.bizfile.gov.sg/ngbtisinternet/faces/oracle/webcenter/port alapp/pages/TransactionMain.jsp?selectedETransId=dirSearch&amp;_afRLoop=341281396725773&amp;_afrWindowMode=0&amp;_afrWindowId=null#%40%3F_afrWindowId%3Dnull%26selectedETransId%3DdirSearch%26_afRLoop%3D341281396725773%26_afrWindowMode%3D0%26_adf.ctrl-state%3D9nldnhg9_4">https://www.tis.bizfile.gov.sg/ngbtisinternet/faces/oracle/webcenter/port alapp/pages/TransactionMain.jsp?selectedETransId=dirSearch&amp;_afRLoop=341281396725773&amp;_afrWindowMode=0&amp;_afrWindowId=null#%40%3F_afrWindowId%3Dnull%26selectedETransId%3DdirSearch%26_afRLoop%3D341281396725773%26_afrWindowMode%3D0%26_adf.ctrl-state%3D9nldnhg9_4</a></p> <p>ACRA is the national regulator of business entities, public accountants and corporate service providers.</p>
Singapore	Monetary Authority of Singapore (MAS)	<a href="http://www.mas.gov.sg/">http://www.mas.gov.sg/</a>	<p><a href="http://www.mas.gov.sg/Regulations-and-Financial-Stability/Regulations-Guidance-and-Licensing.aspx">http://www.mas.gov.sg/Regulations-and-Financial-Stability/Regulations-Guidance-and-Licensing.aspx</a></p> <p>Links to Supervised / Licensed / Regulated individuals and entities are on the left of screen</p>
Slovenia	Agencija za trg vrednostnih papirjev (ATVP) / Securities Market Agency	<a href="http://www.a-tvp.si/eng/">http://www.a-tvp.si/eng/</a>	<a href="http://www.a-tvp.si/eng/?id=5">http://www.a-tvp.si/eng/?id=5</a>
Slovenia	Agencija za trg vrednostnih papirjev (ATVP) / Securities Market Agency	<a href="http://www.a-tvp.si/eng/">http://www.a-tvp.si/eng/</a>	<a href="http://www.a-tvp.si/eng/?id=5">http://www.a-tvp.si/eng/?id=5</a>
South Africa	Financial Services Board	<a href="https://www.fsb.co.za/Pages/Home.aspx">https://www.fsb.co.za/Pages/Home.aspx</a>	See below:
		Regulated Entities	<a href="https://www.fsb.co.za/Pages/SearchRegulatedEntities.aspx">https://www.fsb.co.za/Pages/SearchRegulatedEntities.aspx</a>
		Financial Service Providers	<a href="https://www.fsb.co.za/fais/search_fsp.htm">https://www.fsb.co.za/fais/search_fsp.htm</a>

## Index of Industry Regulators by Country (S)

Country	Regulator	Website Home	Website and Supervised Entities
South Africa	Financial Services Board	<a href="https://www.fsb.co.za/Pages/Home.aspx">https://www.fsb.co.za/Pages/Home.aspx</a>	See below:
		Regulated Entities	<a href="https://www.fsb.co.za/Pages/SearchRegulatedEntities.aspx">https://www.fsb.co.za/Pages/SearchRegulatedEntities.aspx</a>
		Financial Service Providers	<a href="https://www.fsb.co.za/fais/search_fs_p.htm">https://www.fsb.co.za/fais/search_fs_p.htm</a>
South Africa	National Credit Regulator (NCR)	<a href="https://www.thedti.gov.za/agencies/ncr.jsp">https://www.thedti.gov.za/agencies/ncr.jsp</a>	Part of the Department for Trade and Industry.
Spain	Banco de España	<a href="https://www.bde.es/bde/en/">https://www.bde.es/bde/en/</a>	
Spain	Dirección General de Seguros y Fondos de Pensiones (DGSFP)	<a href="https://www.dgsfp.mineco.es/index_in.asp">https://www.dgsfp.mineco.es/index_in.asp</a>	<a href="https://www.dgsfp.mineco.es/sector/registrospublicos.asp">https://www.dgsfp.mineco.es/sector/registrospublicos.asp</a>  Spanish Language
Spain	Comisión Nacional del Mercado de Valores (CNMV) / National Securities Market Commission	<a href="https://www.cnmv.es/portal/home.aspx?lang=en">https://www.cnmv.es/portal/home.aspx?lang=en</a>	<a href="https://www.cnmv.es/Portal/Consultas/BusquedaPorEntidad.aspx?tipo=ESI">https://www.cnmv.es/Portal/Consultas/BusquedaPorEntidad.aspx?tipo=ESI</a>
Sri Lanka	Central Bank of Sri Lanka	<a href="http://www.cbsl.gov.lk/">http://www.cbsl.gov.lk/</a>	<a href="http://www.cbsl.gov.lk/pics_n_docs/01_home/docs/banner_2_e.pdf">http://www.cbsl.gov.lk/pics_n_docs/01_home/docs/banner_2_e.pdf</a>  Link is to a PDF style report. This report was last updated in January 2016 and the link is the most recent available as at November 2017.  Should this report become unavailable: links to the Authorised Institutions report can be found in the base banner of the English language main page.

## Index of Industry Regulators by Country (S)

Country	Regulator	Website Home	Website and Supervised Entities
Sri Lanka	Securities and Exchange Commission of Sri Lanka	<a href="http://www.sec.gov.lk/">http://www.sec.gov.lk/</a>	<a href="http://www.sec.gov.lk/?page_id=8149">http://www.sec.gov.lk/?page_id=8149</a>
Swaziland	Central Bank of Swaziland	<a href="http://www.centralbank.org.sz/">http://www.centralbank.org.sz/</a>	<a href="http://www.centralbank.org.sz/financialregulation/banksupervision/index.php">http://www.centralbank.org.sz/financialregulation/banksupervision/index.php</a>
Sweden	Finansinspektionen	<a href="http://www.fi.se/en/">http://www.fi.se/en/</a>	<a href="http://www.fi.se/en/our-registers/company-register/">http://www.fi.se/en/our-registers/company-register/</a>
Switzerland	Swiss Financial Market Supervisory Authority (FINMA)	<a href="https://www.finma.ch/en/#Publiziert%20als=%7B8A1AFB67-F2D4-4F93-A9CF-FE1E00D1D24E%7D%2C%7B765747A5-26C0-4717-B743-FC7E6344BAED%7D&amp;Hidden%20Filter=%7B8A1AFB67-F2D4-4F93-A9CF-FE1E00D1D24E%7D%2C%7B765747A5-26C0-4717-B743-FC7E6344BAED%7D&amp;Order=4">https://www.finma.ch/en/#Publiziert%20als=%7B8A1AFB67-F2D4-4F93-A9CF-FE1E00D1D24E%7D%2C%7B765747A5-26C0-4717-B743-FC7E6344BAED%7D&amp;Hidden%20Filter=%7B8A1AFB67-F2D4-4F93-A9CF-FE1E00D1D24E%7D%2C%7B765747A5-26C0-4717-B743-FC7E6344BAED%7D&amp;Order=4</a>	<a href="https://www.finma.ch/en/finma-public/authorised-institutions-individuals-and-products/">https://www.finma.ch/en/finma-public/authorised-institutions-individuals-and-products/</a> The documents listed beneath the search box contain lists of authorised entities.
Taiwan	Financial Supervisory Commission Republic of China (Taiwan)	<a href="https://www.fsc.gov.tw/en/index.jsp">https://www.fsc.gov.tw/en/index.jsp</a>	See below:
		Banking	<a href="https://www.banking.gov.tw/en/home.jsp?id=18&amp;parentpath=0,5">https://www.banking.gov.tw/en/home.jsp?id=18&amp;parentpath=0,5</a>
		Securities	<a href="https://www.sfb.gov.tw/en/home.jsp?id=86&amp;parentpath=0,7">https://www.sfb.gov.tw/en/home.jsp?id=86&amp;parentpath=0,7</a>
		Insurance	<a href="https://www.ib.gov.tw/en/home.jsp?id=15&amp;parentpath=0,5&amp;mcustomize=download_list.jsp">https://www.ib.gov.tw/en/home.jsp?id=15&amp;parentpath=0,5&amp;mcustomize=download_list.jsp</a>

## Index of Industry Regulators by Country (S – T)

Country	Regulator	Website Home	Website and Supervised Entities
Switzerland	Swiss Financial Market Supervisory Authority (FINMA)	<a href="https://www.finma.ch/en/#Publiziert%20als=%7B8A1AFB67-F2D4-4F93-A9CF-FE1E00D1D24E%7D%2C%7B765747A5-26C0-4717-B743-FC7E6344BAED%7D&amp;Hidden%20Filter=%7B8A1AFB67-F2D4-4F93-A9CF-FE1E00D1D24E%7D%2C%7B765747A5-26C0-4717-B743-FC7E6344BAED%7D&amp;Order=4">https://www.finma.ch/en/#Publiziert%20als=%7B8A1AFB67-F2D4-4F93-A9CF-FE1E00D1D24E%7D%2C%7B765747A5-26C0-4717-B743-FC7E6344BAED%7D&amp;Hidden%20Filter=%7B8A1AFB67-F2D4-4F93-A9CF-FE1E00D1D24E%7D%2C%7B765747A5-26C0-4717-B743-FC7E6344BAED%7D&amp;Order=4</a>	<p><a href="https://www.finma.ch/en/finma-public/authorised-institutions-individuals-and-products/">https://www.finma.ch/en/finma-public/authorised-institutions-individuals-and-products/</a></p> <p>The documents listed beneath the search box contain lists of authorised entities.</p>
Taiwan	Financial Supervisory Commission Republic of China (Taiwan)	<a href="https://www.fsc.gov.tw/en/index.jsp">https://www.fsc.gov.tw/en/index.jsp</a>	See below:
		Banking	<a href="https://www.banking.gov.tw/en/home.jsp?id=18&amp;parentpath=0,5">https://www.banking.gov.tw/en/home.jsp?id=18&amp;parentpath=0,5</a>
		Securities	<a href="https://www.sfb.gov.tw/en/home.jsp?id=86&amp;parentpath=0,7">https://www.sfb.gov.tw/en/home.jsp?id=86&amp;parentpath=0,7</a>
		Insurance	<a href="https://www.ib.gov.tw/en/home.jsp?id=15&amp;parentpath=0,5&amp;mcustomize=download_list.jsp">https://www.ib.gov.tw/en/home.jsp?id=15&amp;parentpath=0,5&amp;mcustomize=download_list.jsp</a>
Tanzania	Capital Markets and Securities Authority (CMSA)	<a href="http://www.cmsa.go.tz/">http://www.cmsa.go.tz/</a>	<a href="http://www.cmsa.go.tz/index.php/2015-03-01-17-53-30/supervised-entities">http://www.cmsa.go.tz/index.php/2015-03-01-17-53-30/supervised-entities</a>
Thailand	Bank of Thailand	<a href="https://www.bot.or.th/English/Pages/default.aspx">https://www.bot.or.th/English/Pages/default.aspx</a>	
Tunisia	Conseil du Marché Financier / Financial Market Council	<a href="https://www.cmf.tn/">https://www.cmf.tn/</a>	<p><a href="https://www.cmf.tn/intervenants-sur-le-marche-financier#accordion-section-iiiiiiiiiii">https://www.cmf.tn/intervenants-sur-le-marche-financier#accordion-section-iiiiiiiiiii</a></p> <p>French Language</p>
Turkey	Banking Regulation and Supervision Agency (BDDK)	<a href="https://www.bddk.org.tr/WebSitesi/English.aspx">https://www.bddk.org.tr/WebSitesi/English.aspx</a>	<a href="https://www.bddk.org.tr/WebSitesi/english/Institutions/Institutions.aspx">https://www.bddk.org.tr/WebSitesi/english/Institutions/Institutions.aspx</a>



## Index of Industry Regulators by Country (T – U)

Country	Regulator	Website Home	Website and Supervised Entities
Turkey	Banking Regulation and Supervision Agency (BDDK)	<a href="https://www.bddk.org.tr/WebSitesi/English.aspx">https://www.bddk.org.tr/WebSitesi/English.aspx</a>	<a href="https://www.bddk.org.tr/WebSitesi/english/Institutions/Institutions.aspx">https://www.bddk.org.tr/WebSitesi/english/Institutions/Institutions.aspx</a>
Turkey	Capital Markets Board of Turkey	<a href="http://www.cmb.gov.tr/">http://www.cmb.gov.tr/</a>	See main page and tabs "Companies" and "Investors",
Uganda	Capital Markets Authority (CMA)	<a href="https://www.cmauganda.co.ug/">https://www.cmauganda.co.ug/</a>	<a href="https://www.cmauganda.co.ug/ug/smenu/24/Licensed-Firms-.html">https://www.cmauganda.co.ug/ug/smenu/24/Licensed-Firms-.html</a>
United Arab Emirates (Dubai)	Dubai Financial Services Authority (DFSA)	<a href="https://www.dfsa.ae/">https://www.dfsa.ae/</a>	<a href="https://www.dfsa.ae/Public-Register/Firm">https://www.dfsa.ae/Public-Register/Firm</a>
United Arab Emirates (UAE)	Securities and Commodities Authority (SCA)	<a href="http://www.sca.gov.ae/english/Pages/Home.aspx">http://www.sca.gov.ae/english/Pages/Home.aspx</a>	Select from drop down menu of "Open Data" tab.
UK	Financial Conduct Authority (FCA) Register	<a href="https://register.fca.org.uk/">https://register.fca.org.uk/</a>	<a href="https://register.fca.org.uk/">https://register.fca.org.uk/</a> Register of UK authorised companies, individuals and products. Maintained by the FCA and the Bank of England Prudential Regulation Authority.
UK	The Pensions Regulator	<a href="http://www.thepensionsregulator.gov.uk/en/employers?xtor=SEC-47-GOO-[PPCEmployer]-[PPCEmployer]-S-[%2Bpensions%20%2Bregulator]&amp;xts=566156&amp;gclid=EAIaIQobChMIIn_qdGz1qIVSLXtCh1Vbgr8EAAYASAAEgKCRvD_BwE">http://www.thepensionsregulator.gov.uk/en/employers?xtor=SEC-47-GOO-[PPCEmployer]-[PPCEmployer]-S-[%2Bpensions%20%2Bregulator]&amp;xts=566156&amp;gclid=EAIaIQobChMIIn_qdGz1qIVSLXtCh1Vbgr8EAAYASAAEgKCRvD_BwE</a>	

## Index of Industry Regulators by Country (U)

Country	Regulator	Website Home	Website and Supervised Entities
Ukraine	National Securities and Stock Market Commission	<a href="http://www.ssmc.gov.ua/">http://www.ssmc.gov.ua/</a>	See below. Ukraine uses a series of self-regulatory organisations. Websites are a mix of Ukrainian and English.
		AUST (Securities Traders)	<a href="http://www.aust.com.ua/MainMembersAUST.aspx">http://www.aust.com.ua/MainMembersAUST.aspx</a> Ukrainian: АУФТ. Links to a list of members by city / province (in Ukrainian)
		PARD (Registrars, Depositaries)	<a href="https://www.pard.ua/uk/participation/members/list/">https://www.pard.ua/uk/participation/members/list/</a> Ukrainian: ПАРД. Link is to a members list of PARD / ПАРД participants (in Ukrainian).
		UAIB (Investment Businesses)	<a href="http://www.uaib.com.ua/eng/analituaib/rankings/kua.html">http://www.uaib.com.ua/eng/analituaib/rankings/kua.html</a> Ukrainian: УАІБ. Link is to lists of Asset Management Companies. The website also details Collective Investment Institutions but these links were inactive when the site was viewed.
Uruguay	Banco Central del Uruguay (BCU) / Central Bank of Uruguay	<a href="http://www.bcu.gub.uy/Ingles/Paginas/Acerca-de.aspx">http://www.bcu.gub.uy/Ingles/Paginas/Acerca-de.aspx</a>	Select the "Financial System" option. Drop down menu includes lists of entities across the various financial industries.
USA	Federal Deposit Insurance Corporation (FDIC)	<a href="https://www.fdic.gov/">https://www.fdic.gov/</a>	<a href="https://research.fdic.gov/bankfind/">https://research.fdic.gov/bankfind/</a> This search shows federally insured and regulated banks.
USA	Financial Industry Regulatory Authority (FINRA)	<a href="https://www.finra.org/">https://www.finra.org/</a>	<a href="https://brokercheck.finra.org/">https://brokercheck.finra.org/</a> FINRA regulates US based brokerages at a Federal level.

## Index of Industry Regulators by Country (U)

Country	Regulator	Website Home	Website and Supervised Entities
USA	Internal Revenue Service (IRS)	<a href="https://www.irs.gov/">https://www.irs.gov/</a>	<p><a href="https://apps.irs.gov/app/eos/pub78Search.do?searchChoice=pub78&amp;dispatchMethod=navigateSearch&amp;pathName=forwardToPub78Search&amp;submitName=Return+to+Search">https://apps.irs.gov/app/eos/pub78Search.do?searchChoice=pub78&amp;dispatchMethod=navigateSearch&amp;pathName=forwardToPub78Search&amp;submitName=Return+to+Search</a></p> <p>This search shows charities and non-profit organisations that have received tax exemptions at a federal level (so-called 501c3 organisations). It is best searched by EIN (Employer Identification Number). An EIN can often be found through a simple Google search.</p>
USA	National Mortgage Licensing System (NMLS)	<a href="http://mortgage.nationwidelicensingsystem.org/Pages/default.aspx">http://mortgage.nationwidelicensingsystem.org/Pages/default.aspx</a>	<p><a href="http://www.nmlsconsumeraccess.org/">http://www.nmlsconsumeraccess.org/</a></p> <p>Not a Federal regulator. However, NMLS is a cross state platform for the registration of financial Services businesses and professionals. A useful resource to research a US FSB if it does not show as regulated at a federal level.</p>
USA	Pension Benefit Guaranty Corporation (PBGC)	<a href="https://www.pbgc.gov/">https://www.pbgc.gov/</a>	<p><a href="https://www.pbgc.gov/es/search/insured-plans">https://www.pbgc.gov/es/search/insured-plans</a></p> <p>Pension Benefit Guaranty Corporation shows registered and federally insured pension plans.</p>
USA	Securities and Exchange Commission (SEC)	<a href="https://www.sec.gov/">https://www.sec.gov/</a>	<p><a href="https://adviserinfo.sec.gov/">https://adviserinfo.sec.gov/</a></p> <p>The SEC regulates Investment Advisers (individuals and companies). This will cover the majority of US regulated financial firms that are regulated at a Federal level.</p>

## Index of Industry Regulators by Country (U – V)

Country	Regulator	Website Home	Website and Supervised Entities
Uzbekistan	Center for Coordination and Development of the Securities Market	<a href="https://csm.gov.uz/en/">https://csm.gov.uz/en/</a>	<a href="https://csm.gov.uz/en/attestation#atтестационные-вопросы">https://csm.gov.uz/en/attestation#atтестационные-вопросы</a>  [Mixed language - English and Uzbek] Select current year date tab for a list of individuals / entities hold class I and class II licenses (data in Uzbek).
Venezuela	Superintendencia Nacional de Valores	<a href="http://www.sunaval.gov.ve/snv/site/index.php">http://www.sunaval.gov.ve/snv/site/index.php</a>	Spanish Language: Select "Personas Reguladas" (regulated Persons) drop down. Personas Naturales = Natural Persons, Personas Juridicas = Legal Entities. ASESORES DE INVERSIÓN PERSONAS NATURALES = INVESTMENT ADVISERS NATURAL PERSONS. CORREDORES PÚBLICOS DE VALORES = Public Brokers. ASESORES DE INVERSIÓN = Investment Advisors. SOCIEDADES DE CORRETAJE = Brokerage Companies. FONDOS MUTUALES = Mutual Funds. SOCIEDADES ADMINISTRADORAS (DE FONDOS MUTUALES) = Mutual Fund Management Companies. AGENTES DE TRASPASOS = Transfer Agents.
Vietnam	State Securities Commission of Vietnam	<a href="http://ssc.gov.vn/ssc/faces/en/enmenu/enpages_home;jsessionid=Y2mHZC1NHk9Lpt3b2K64v1TncbytTtSQN0f0hhNz0p2QGTRgPcqb!943513284?_afLoop=4440659751594794&amp;_afWindowMode=0&amp;_afWindowId=null#%40%3F_afWindowId%3Dnull%26_afLoop%3D4440659751594794%26_afWindowMode%3D0%26_adf.ctrl-state%3Dhb06yysoi_4">http://ssc.gov.vn/ssc/faces/en/enmenu/enpages_home;jsessionid=Y2mHZC1NHk9Lpt3b2K64v1TncbytTtSQN0f0hhNz0p2QGTRgPcqb!943513284?_afLoop=4440659751594794&amp;_afWindowMode=0&amp;_afWindowId=null#%40%3F_afWindowId%3Dnull%26_afLoop%3D4440659751594794%26_afWindowMode%3D0%26_adf.ctrl-state%3Dhb06yysoi_4</a>	See "Company Database" tab. Drop down menu links by financial sector.

## Index of Industry Regulators by Country (Z)

Country	Regulator	Website Home	Website and Supervised Entities
Zambia	Securities and Exchange Commission Zambia.	<a href="http://seczambia.org.zm/">http://seczambia.org.zm/</a>	

## Index of USA State / Commonwealth / District Regulators

State / District / Commonwealth	Regulator	Link to Regulator	Link to lists of Regulated / Supervised Entities
Alabama	Alabama Department of Insurance	<a href="http://www.aldoi.gov/">http://www.aldoi.gov/</a>	<a href="https://sbs-al.naic.org/Lion-Web/jsp/sbsreports/CompanySearchLookup.jsp">https://sbs-al.naic.org/Lion-Web/jsp/sbsreports/CompanySearchLookup.jsp</a>
Alabama	State Banking Department	<a href="http://www.banking.alabama.gov/">http://www.banking.alabama.gov/</a>	<a href="http://www.banking.alabama.gov/FAQ.aspx">http://www.banking.alabama.gov/FAQ.aspx</a>
Alaska	Alaska Division of Insurance	<a href="https://www.commerce.alaska.gov/web/ins/">https://www.commerce.alaska.gov/web/ins/</a>	<a href="https://sbs-ak.naic.org/Lion-Web/jsp/sbsreports/AgentLookup.jsp">https://sbs-ak.naic.org/Lion-Web/jsp/sbsreports/AgentLookup.jsp</a>
Alaska	Alaska Department of Commerce, Community and Development - Banking and Securities	<a href="https://www.commerce.alaska.gov/web/dbs/">https://www.commerce.alaska.gov/web/dbs/</a>	<a href="https://www.commerce.alaska.gov/web/dbs/Banking.aspx">https://www.commerce.alaska.gov/web/dbs/Banking.aspx</a>
Arizona	Arizona Department of Financial Institutions	<a href="http://www.azdfi.gov/">http://www.azdfi.gov/</a>	<a href="http://azdfi.gov/Consumers/Licenses/default.asp">http://azdfi.gov/Consumers/Licenses/default.asp</a>
Arizona	Arizona Department of Insurance	<a href="https://insurance.az.gov/">https://insurance.az.gov/</a>	<a href="https://insurance.az.gov/license-search">https://insurance.az.gov/license-search</a>
Arkansas	Arkansas Insurance Department	<a href="https://www.insurance.arkansas.gov/">https://www.insurance.arkansas.gov/</a>	<a href="https://insurance.arkansas.gov/pages/industry-regulation/licensing/">https://insurance.arkansas.gov/pages/industry-regulation/licensing/</a>
Arkansas	Arkansas State Bank Department	<a href="http://www.arkansas.gov/bank/">http://www.arkansas.gov/bank/</a>	<a href="http://banking.arkansas.gov/financial-institution-info/financial-institution-lists/state-chartered-banks">http://banking.arkansas.gov/financial-institution-info/financial-institution-lists/state-chartered-banks</a>
California	California Department of Business Oversight	<a href="http://www.dbo.ca.gov/">http://www.dbo.ca.gov/</a>	<a href="http://www.dbo.ca.gov/Licensees/banks/commercial_bank_directory.asp">http://www.dbo.ca.gov/Licensees/banks/commercial_bank_directory.asp</a>
California	California Department of Business Oversight	<a href="http://www.dbo.ca.gov/">http://www.dbo.ca.gov/</a>	<a href="http://www.dbo.ca.gov/Licensees/trust_companies/trust_companies_directory.asp">http://www.dbo.ca.gov/Licensees/trust_companies/trust_companies_directory.asp</a>
California	California Department of Insurance	<a href="http://www.insurance.ca.gov/">http://www.insurance.ca.gov/</a>	<a href="http://www.insurance.ca.gov/license-status/">http://www.insurance.ca.gov/license-status/</a>
Colorado	Colorado Department of Regulatory Agencies - Financial Services	<a href="https://www.colorado.gov/pacific/dora/about-division-financial-services">https://www.colorado.gov/pacific/dora/about-division-financial-services</a>	<a href="https://www.colorado.gov/pacific/dora/node/100231">https://www.colorado.gov/pacific/dora/node/100231</a>

## Index of USA State / Commonwealth / District Regulators

State / District / Commonwealth	Regulator	Link to Regulator	Link to lists of Regulated / Supervised Entities
Colorado	Colorado Department of Regulatory Agencies - Division of Insurance	<a href="https://www.colorado.gov/pacific/dora/division-insurance">https://www.colorado.gov/pacific/dora/division-insurance</a>	<a href="https://www.colorado.gov/pacific/dora/node/100271">https://www.colorado.gov/pacific/dora/node/100271</a>
Connecticut	Connecticut Department of Banking	<a href="http://www.ct.gov/dob/site/default.asp">http://www.ct.gov/dob/site/default.asp</a>	<a href="http://www.ct.gov/dob/cwp/view.asp?a=2228&amp;q=296954&amp;dobNAV_GID=1660">http://www.ct.gov/dob/cwp/view.asp?a=2228&amp;q=296954&amp;dobNAV_GID=1660</a>
Connecticut	Connecticut Insurance Department	<a href="http://www.ct.gov/cid/site/default.asp">http://www.ct.gov/cid/site/default.asp</a>	<a href="http://www.cidverifylicense.ct.gov/CLIC/VerifyLicense.aspx">http://www.cidverifylicense.ct.gov/CLIC/VerifyLicense.aspx</a>
Delaware	Office of the State Bank Commissioner of Delaware	<a href="http://banking.delaware.gov/">http://banking.delaware.gov/</a>	<a href="http://banking.delaware.gov/">http://banking.delaware.gov/</a>
Delaware	Delaware Department of Insurance	<a href="http://insurance.delaware.gov/">http://insurance.delaware.gov/</a>	<a href="http://insurance.delaware.gov/services/licenseelookup/">http://insurance.delaware.gov/services/licenseelookup/</a>
Florida	Florida Office of Financial Regulation	<a href="http://www.flofr.com/">http://www.flofr.com/</a>	<a href="https://real.flofr.com/ConsumerServices/FinancialInstitutions/InstSearch.aspx">https://real.flofr.com/ConsumerServices/FinancialInstitutions/InstSearch.aspx</a>
Florida	Florida Office of Insurance Regulation	<a href="http://www.floir.com/">http://www.floir.com/</a>	<a href="http://www.floir.com/companysearch/">http://www.floir.com/companysearch/</a>
Georgia	Georgia Department of Banking and Finance	<a href="https://dbf.georgia.gov/">https://dbf.georgia.gov/</a>	<a href="https://dbfweb.dbf.state.ga.us/WebBkData.html">https://dbfweb.dbf.state.ga.us/WebBkData.html</a>
Georgia	Georgia Office of Insurance and Safety Fire Commissioner	<a href="https://www.oci.ga.gov/">https://www.oci.ga.gov/</a>	<a href="https://www.oci.ga.gov/Insurers/Home.aspx">https://www.oci.ga.gov/Insurers/Home.aspx</a>
Hawaii	Department of Commerce and Consumer Affairs	<a href="http://cca.hawaii.gov/">http://cca.hawaii.gov/</a>	<a href="http://cca.hawaii.gov/dfi/list-of-companies/">http://cca.hawaii.gov/dfi/list-of-companies/</a>
Hawaii	Department of Commerce and Consumer Affairs	<a href="http://cca.hawaii.gov/">http://cca.hawaii.gov/</a>	<a href="http://insurance.ehawaii.gov/hils/">http://insurance.ehawaii.gov/hils/</a>

## Index of USA State / Commonwealth / District Regulators

State / District / Commonwealth	Regulator	Link to Regulator	Link to lists of Regulated / Supervised Entities
Idaho	Idaho Department of Finance	<a href="http://www.finance.idaho.gov/">http://www.finance.idaho.gov/</a>	<a href="http://www.finance.idaho.gov/Banking/BankDetails.aspx">http://www.finance.idaho.gov/Banking/BankDetails.aspx</a>
Illinois	Illinois Department of Financial and Professional Regulation (IDFPR)	<a href="https://www.idfpr.com/">https://www.idfpr.com/</a>	<a href="http://www.idfpr.com/Banks/CBT/REGENTY/BTREG.asp">http://www.idfpr.com/Banks/CBT/REGENTY/BTREG.asp</a>
Indiana	Indiana Department of Financial Institutions	<a href="https://www.in.gov/dfi/">https://www.in.gov/dfi/</a>	<a href="http://extranet.dfi.in.gov/Depository/DepositoryListing">http://extranet.dfi.in.gov/Depository/DepositoryListing</a>
Indiana	Indiana Department of Insurance	<a href="https://www.in.gov/idoi/">https://www.in.gov/idoi/</a>	<a href="https://www.in.gov/idoi/2446.htm">https://www.in.gov/idoi/2446.htm</a>
Iowa	Iowa Division of Banking	<a href="https://www.idob.state.ia.us/">https://www.idob.state.ia.us/</a>	<a href="https://www.idob.state.ia.us/bank/docs/regenty.aspx">https://www.idob.state.ia.us/bank/docs/regenty.aspx</a>
Iowa	Iowa Insurance Division	<a href="https://iid.iowa.gov/">https://iid.iowa.gov/</a>	<a href="https://iid.iowa.gov/licensed-insurance-companies">https://iid.iowa.gov/licensed-insurance-companies</a>
Kansas	State Bank Commissioner of Kansas	<a href="http://www.osbckansas.org/">http://www.osbckansas.org/</a>	<a href="https://online.osbckansas.org/Lookup/LicenseLookup.aspx">https://online.osbckansas.org/Lookup/LicenseLookup.aspx</a>
Kansas	Kansas Insurance Department	<a href="http://www.ksinsurance.org/">http://www.ksinsurance.org/</a>	<a href="http://www.ksinsurance.org/departments/company-search.php">http://www.ksinsurance.org/departments/company-search.php</a>
Kentucky	Kentucky Department of Financial Institutions	<a href="http://kfi.ky.gov/">http://kfi.ky.gov/</a>	<a href="http://kfi.ky.gov/search/Pages/default.aspx">http://kfi.ky.gov/search/Pages/default.aspx</a>
Kentucky	Kentucky Department of Insurance	<a href="http://insurance.ky.gov/">http://insurance.ky.gov/</a>	<a href="http://insurance.ky.gov/Home.aspx?Div_ID=2">http://insurance.ky.gov/Home.aspx?Div_ID=2</a>
Louisiana	Office of Financial Institutions	<a href="http://www.ofi.state.la.us/">http://www.ofi.state.la.us/</a>	<a href="http://www.ofi.state.la.us/">http://www.ofi.state.la.us/</a>
Louisiana	Louisiana Department of Insurance	<a href="https://www.lidi.la.gov/">https://www.lidi.la.gov/</a>	<a href="https://www.lidi.la.gov/onlineservices/ActiveCompanySearch/">https://www.lidi.la.gov/onlineservices/ActiveCompanySearch/</a>



## Index of USA State / Commonwealth / District Regulators

State / District / Commonwealth	Regulator	Link to Regulator	Link to lists of Regulated / Supervised Entities
Maine	Bureau of Financial Institutions	<a href="http://www.maine.gov/pfr/financialinstitutions/">http://www.maine.gov/pfr/financialinstitutions/</a>	<a href="http://www.maine.gov/pfr/financialinstitutions/regulated.htm">http://www.maine.gov/pfr/financialinstitutions/regulated.htm</a>
Maryland	Office of the Commissioner of Financial Regulation	<a href="http://dflr.maryland.gov/whatsnews/finregaccred.shtml">http://dflr.maryland.gov/whatsnews/finregaccred.shtml</a>	<a href="https://www.dflr.state.md.us/finance/banks/mdbanks.shtml">https://www.dflr.state.md.us/finance/banks/mdbanks.shtml</a>
Maryland	Office of the Commissioner of Financial Regulation	<a href="http://dflr.maryland.gov/whatsnews/finregaccred.shtml">http://dflr.maryland.gov/whatsnews/finregaccred.shtml</a>	<a href="https://www.dflr.state.md.us/finance/banks/trustcos.shtml">https://www.dflr.state.md.us/finance/banks/trustcos.shtml</a>
Maryland	Insurance Administration	<a href="http://insurance.maryland.gov/Pages/default.aspx">http://insurance.maryland.gov/Pages/default.aspx</a>	<a href="http://insurance.maryland.gov/Consumer/Pages/CompanySearchInstructions.aspx">http://insurance.maryland.gov/Consumer/Pages/CompanySearchInstructions.aspx</a>
Massachusetts	Consumer Affairs and Business Regulation / Division of Banks	<a href="http://www.mass.gov/ocabr/banking-and-finance/">http://www.mass.gov/ocabr/banking-and-finance/</a>	<a href="https://services.oca.state.ma.us/LicenseLookup/licenseelist.asp">https://services.oca.state.ma.us/LicenseLookup/licenseelist.asp</a>
Massachusetts	Consumer Affairs and Business Regulation / Division of Insurance	<a href="http://www.mass.gov/ocabr/insurance/">http://www.mass.gov/ocabr/insurance/</a>	<a href="http://www.mass.gov/ocabr/licensee/license-types/insurance/insurance-companies/massachusetts-licensed-insurance-companies.html">http://www.mass.gov/ocabr/licensee/license-types/insurance/insurance-companies/massachusetts-licensed-insurance-companies.html</a>
Michigan	Department of Insurance and Financial Services (DIFS)	<a href="http://www.michigan.gov/difs/">http://www.michigan.gov/difs/</a>	<a href="http://www.michigan.gov/difs/0,5269,7-303-269,7-303-13251_13262---,00.html">http://www.michigan.gov/difs/0,5269,7-303-269,7-303-13251_13262---,00.html</a>
Michigan	Department of Insurance and Financial Services (DIFS) - Office of Banking	<a href="http://www.michigan.gov/difs/0,5269,7-303-13047_32588---,00.html">http://www.michigan.gov/difs/0,5269,7-303-13047_32588---,00.html</a>	<a href="http://difs.state.mi.us/fis/ind_srcht_bank/">http://difs.state.mi.us/fis/ind_srcht_bank/</a>
Minnesota	Department of Commerce	<a href="https://mn.gov/commerce/industries/financial-institutions/banks/">https://mn.gov/commerce/industries/financial-institutions/banks/</a>	None
Minnesota	Department of Commerce	<a href="https://mn.gov/commerce/">https://mn.gov/commerce/</a>	<a href="https://mn.gov/commerce/consumers/tips-tools/license-lookup.jsp">https://mn.gov/commerce/consumers/tips-tools/license-lookup.jsp</a>

## Index of USA State / Commonwealth / District Regulators

State / District / Commonwealth	Regulator	Link to Regulator	Link to lists of Regulated / Supervised Entities
Mississippi	Mississippi Department of Banking and Consumer Finance	<a href="http://www.dbcf.state.ms.us/">http://www.dbcf.state.ms.us/</a>	<a href="http://www.dbcf.state.ms.us/banking.asp">http://www.dbcf.state.ms.us/banking.asp</a>
Mississippi	Mississippi Insurance Department	<a href="http://www.mid.ms.gov/#&amp;panel1-1">http://www.mid.ms.gov/#&amp;panel1-1</a>	<a href="https://www.mid.ms.gov/licensing-search/licensing-search.aspx">https://www.mid.ms.gov/licensing-search/licensing-search.aspx</a>
Missouri	Missouri Division of Finance	<a href="http://finance.mo.gov/">http://finance.mo.gov/</a>	<a href="http://finance.mo.gov/licensee/search">http://finance.mo.gov/licensee/search</a>
Montana	Office of the Montana State Auditor / Commissioner of Securities and Insurance.	<a href="http://csimt.gov/">http://csimt.gov/</a>	<a href="http://csimt.gov/insurance/licensing/">http://csimt.gov/insurance/licensing/</a>
Montana	Department of Administration Montana / Division of Banking and Financial Institutions	<a href="http://banking.mt.gov/">http://banking.mt.gov/</a>	<a href="https://banking.mt.gov/licensees">https://banking.mt.gov/licensees</a>
Nebraska	Nebraska Department of Banking and Finance	<a href="https://ndbf.nebraska.gov/">https://ndbf.nebraska.gov/</a>	<a href="http://www.nebraska.gov/ndbf/searches/fisearch.html">http://www.nebraska.gov/ndbf/searches/fisearch.html</a>
Nebraska	Nebraska Department of Insurance	<a href="https://doi.nebraska.gov/">https://doi.nebraska.gov/</a>	<a href="https://doi.nebraska.gov/consumer/company-and-producer-search">https://doi.nebraska.gov/consumer/company-and-producer-search</a>
Nevada	State of Nevada Department of Business and Industry - Financial Institutions	<a href="http://fid.nv.gov/">http://fid.nv.gov/</a>	<a href="https://fid.online.nv.gov/datamart/selectSearchType.do?from=loginPage">https://fid.online.nv.gov/datamart/selectSearchType.do?from=loginPage</a>
New Jersey	State of New Jersey Department of Banking and Insurance	<a href="http://www.state.nj.us/dobi/index.html">http://www.state.nj.us/dobi/index.html</a>	<a href="https://www20.state.nj.us/DOBI/LicSearch/">https://www20.state.nj.us/DOBI/LicSearch/</a>

## Index of USA State / Commonwealth / District Regulators

State / District / Commonwealth	Regulator	Link to Regulator	Link to lists of Regulated / Supervised Entities
New Mexico	New Mexico Regulation and Licensing Department - Financial Institutions	<a href="http://www.rld.state.nm.us/financialinstitutions/default.aspx">http://www.rld.state.nm.us/financialinstitutions/default.aspx</a>	<a href="http://www.rld.state.nm.us/financialinstitutions/Banks_Trust_Companies_and_Savings_and_Loan.aspx">http://www.rld.state.nm.us/financialinstitutions/Banks_Trust_Companies_and_Savings_and_Loan.aspx</a>
New Mexico	Office of Superintendent of Insurance	<a href="http://www.osi.state.nm.us/">http://www.osi.state.nm.us/</a>	<a href="https://sbs.naic.org/solar-external-lookup/lookup?_onCollectionChange=function()%7B%7D&amp;_pristine=true&amp;_onDisabledChange=&amp;controls=%5Bobject%20Object%5D&amp;_valueChanges=%5Bobject%20Object%5D&amp;_statusChanges=%5Bobject%20Object%5D&amp;_status=VALID&amp;_value=%5Bobject%20Object%5D">https://sbs.naic.org/solar-external-lookup/lookup?_onCollectionChange=function()%7B%7D&amp;_pristine=true&amp;_onDisabledChange=&amp;controls=%5Bobject%20Object%5D&amp;_valueChanges=%5Bobject%20Object%5D&amp;_statusChanges=%5Bobject%20Object%5D&amp;_status=VALID&amp;_value=%5Bobject%20Object%5D</a>
New York	New York State Department of Financial Services	<a href="http://www.dfs.ny.gov/">http://www.dfs.ny.gov/</a>	<a href="http://www.dfs.ny.gov/">http://www.dfs.ny.gov/</a>
North Carolina	North Carolina Commissioner of Banks	<a href="http://www.nccob.org/public/">http://www.nccob.org/public/</a>	<a href="http://www.nccob.org/public/FinancialInstitutions/Banks/BanksRI.aspx">http://www.nccob.org/public/FinancialInstitutions/Banks/BanksRI.aspx</a>
North Carolina	North Carolina Department of Insurance	<a href="http://www.ncdoi.com/">http://www.ncdoi.com/</a>	<a href="https://sbs-nc.naic.org/Lion-Web/jsp/sbsreports/CompanySearchLookup.jsp">https://sbs-nc.naic.org/Lion-Web/jsp/sbsreports/CompanySearchLookup.jsp</a>
North Dakota	State of North Dakota Department of Financial Institutions	<a href="https://www.nd.gov/dfi/">https://www.nd.gov/dfi/</a>	<a href="https://www.nd.gov/dfi/regulate/index.html">https://www.nd.gov/dfi/regulate/index.html</a>
North Dakota	North Dakota Insurance Department	<a href="https://www.nd.gov/ndins/">https://www.nd.gov/ndins/</a>	<a href="https://www.nd.gov/ndins/consumers/companyinfo/licensedregistered/">https://www.nd.gov/ndins/consumers/companyinfo/licensedregistered/</a>
Ohio	Department of Commerce	<a href="http://www.com.ohio.gov/">http://www.com.ohio.gov/</a>	<a href="http://www.com.ohio.gov/fiin/">http://www.com.ohio.gov/fiin/</a>
Ohio	Ohio Department of Insurance (ODI)	<a href="http://www.insurance.ohio.gov/Pages/default.aspx">http://www.insurance.ohio.gov/Pages/default.aspx</a>	<a href="https://gateway.insurance.ohio.gov/UI/ODI.Risk.Public.UI/Company/Search">https://gateway.insurance.ohio.gov/UI/ODI.Risk.Public.UI/Company/Search</a>

## Index of USA State / Commonwealth / District Regulators

State / District / Commonwealth	Regulator	Link to Regulator	Link to lists of Regulated / Supervised Entities
Oklahoma	Oklahoma Banking Department	<a href="https://www.ok.gov/banking/">https://www.ok.gov/banking/</a>	<a href="https://www.ok.gov/banking/Bank_Listing.html">https://www.ok.gov/banking/Bank_Listing.html</a>
Oklahoma	Oklahoma Banking Department	<a href="https://www.ok.gov/banking/">https://www.ok.gov/banking/</a>	<a href="https://www.ok.gov/banking/Trust_Company_Listing.html">https://www.ok.gov/banking/Trust_Company_Listing.html</a>
Oklahoma	Oklahoma Insurance Department	<a href="https://www.ok.gov/oid/">https://www.ok.gov/oid/</a>	<a href="https://www.ok.gov/oid/Regulated_Entities/index.html">https://www.ok.gov/oid/Regulated_Entities/index.html</a>
Oregon	State of Oregon Division of Financial Regulation	<a href="http://dfr.oregon.gov/Pages/index.aspx">http://dfr.oregon.gov/Pages/index.aspx</a>	<a href="http://dfr.oregon.gov/gethelp/Pages/check-license.aspx">http://dfr.oregon.gov/gethelp/Pages/check-license.aspx</a>
Pennsylvania	Pennsylvania Department of Banking and Securities	<a href="http://www.dobs.pa.gov/Pages/default.aspx">http://www.dobs.pa.gov/Pages/default.aspx</a>	<a href="http://www.instsearch.pa.gov/">http://www.instsearch.pa.gov/</a>
Pennsylvania	Pennsylvania Insurance Department	<a href="http://www.insurance.pa.gov/Pages/default.aspx">http://www.insurance.pa.gov/Pages/default.aspx</a>	<a href="http://www.insurance.pa.gov/Consumers/FindInsProfessional/Pages/default.aspx">http://www.insurance.pa.gov/Consumers/FindInsProfessional/Pages/default.aspx</a>
Rhode Island	Rhode Island Department of Business Regulation (Banking Division)	<a href="http://www.dbr.state.ri.us/">http://www.dbr.state.ri.us/</a>	<a href="http://www.dbr.state.ri.us/divisions/banking/chartered_list.php">http://www.dbr.state.ri.us/divisions/banking/chartered_list.php</a>
South Carolina	Office of the Commissioner of Banking	<a href="http://www.banking.sc.gov/Pages/default.aspx">http://www.banking.sc.gov/Pages/default.aspx</a>	<a href="http://www.banking.sc.gov/regulatedinstitutions/Pages/default.aspx">http://www.banking.sc.gov/regulatedinstitutions/Pages/default.aspx</a>
South Carolina	South Carolina Department of Insurance	<a href="http://www.doi.sc.gov/">http://www.doi.sc.gov/</a>	<a href="https://online.doi.sc.gov/Eng/Public/Static/DBSearch.aspx">https://online.doi.sc.gov/Eng/Public/Static/DBSearch.aspx</a>
South Dakota	South Dakota Department of Labor and Regulation - Division of Banking	<a href="http://dlr.sd.gov/">http://dlr.sd.gov/</a>	<a href="http://dlr.sd.gov/banking/banks/information_for_consumers.aspx#entities">http://dlr.sd.gov/banking/banks/information_for_consumers.aspx#entities</a>
South Dakota	South Dakota Department of Labor and Regulation - Division of Banking	<a href="http://dlr.sd.gov/">http://dlr.sd.gov/</a>	<a href="http://dlr.sd.gov/banking/trusts/default.aspx">http://dlr.sd.gov/banking/trusts/default.aspx</a>

## Index of USA State / Commonwealth / District Regulators

State / District / Commonwealth	Regulator	Link to Regulator	Link to lists of Regulated / Supervised Entities
South Dakota	South Dakota Department of Labor and Regulation - Division of Insurance	<a href="http://dlr.sd.gov/">http://dlr.sd.gov/</a>	<a href="http://dlr.sd.gov/insurance/license_inquiry_service.aspx">http://dlr.sd.gov/insurance/license_inquiry_service.aspx</a>
Tennessee	Tennessee Department of Financial institutions	<a href="http://www.tennessee.gov/tdfi/">http://www.tennessee.gov/tdfi/</a>	<a href="http://www.tennessee.gov/tdfi/article/bank-listing">http://www.tennessee.gov/tdfi/article/bank-listing</a>
Tennessee	Tennessee Department of Financial Institutions	<a href="http://www.tennessee.gov/tdfi/">http://www.tennessee.gov/tdfi/</a>	<a href="http://www.tennessee.gov/tdfi/article/trust-listing">http://www.tennessee.gov/tdfi/article/trust-listing</a>
Tennessee	Tennessee Department of Commerce and Insurance	<a href="https://www.tn.gov/commerce">https://www.tn.gov/commerce</a>	<a href="https://sbs-tn.naic.org/Lion-Web/jsp/sbsreports/CompanySearchLookup.jsp">https://sbs-tn.naic.org/Lion-Web/jsp/sbsreports/CompanySearchLookup.jsp</a>
Texas	Texas Department of Banking	<a href="http://www.dob.texas.gov/">http://www.dob.texas.gov/</a>	<a href="http://www.dob.texas.gov/entity-search">http://www.dob.texas.gov/entity-search</a>
Texas	Texas Department of Insurance	<a href="http://www.tdi.texas.gov/">http://www.tdi.texas.gov/</a>	<a href="https://apps.tdi.state.tx.us/pcci/pcci_search.jsp">https://apps.tdi.state.tx.us/pcci/pcci_search.jsp</a>
Utah	Utah Department of Financial Institutions	<a href="https://dfi.utah.gov/">https://dfi.utah.gov/</a>	<a href="https://www.utah.gov/dfi/FinancialInstitutions.html">https://www.utah.gov/dfi/FinancialInstitutions.html</a>
Utah	Utah Department of Financial Institutions	<a href="https://dfi.utah.gov/">https://dfi.utah.gov/</a>	<a href="https://dfi.utah.gov/non-depository/trust-companies/">https://dfi.utah.gov/non-depository/trust-companies/</a>
Utah	Utah Insurance Department	<a href="https://insurance.utah.gov/">https://insurance.utah.gov/</a>	<a href="https://secure.utah.gov/agent-search/search.html#">https://secure.utah.gov/agent-search/search.html#</a>
Vermont	Vermont Department of Financial Regulation - Banking Division	<a href="http://www.dfr.vermont.gov/banking/banking-division">http://www.dfr.vermont.gov/banking/banking-division</a>	<a href="http://www.dfr.vermont.gov/banking/verify-license">http://www.dfr.vermont.gov/banking/verify-license</a>

## Index of USA State / Commonwealth / District Regulators

State / District / Commonwealth	Regulator	Link to Regulator	Link to lists of Regulated / Supervised Entities
Virginia	Commonwealth of Virginia State Corporation Commission	<a href="https://www.scc.virginia.gov/bfi/">https://www.scc.virginia.gov/bfi/</a>	<a href="https://www.scc.virginia.gov/bfi/reg_inst/trust.aspx">https://www.scc.virginia.gov/bfi/reg_inst/trust.aspx</a>
Virginia	Commonwealth of Virginia State Corporation Commission / Bureau of Insurance	<a href="https://www.scc.virginia.gov/boi/">https://www.scc.virginia.gov/boi/</a>	<a href="http://www.scc.virginia.gov/boi/ConsumerInquiry/default.aspx">http://www.scc.virginia.gov/boi/ConsumerInquiry/default.aspx</a>
Washington	Washington State Department of Financial Institutions	<a href="http://www.dfi.wa.gov/">http://www.dfi.wa.gov/</a>	<a href="http://www.dfi.wa.gov/banks/who-we-regulate">http://www.dfi.wa.gov/banks/who-we-regulate</a>
Washington	Office of the Insurance Commissioner	<a href="https://www.insurance.wa.gov/">https://www.insurance.wa.gov/</a>	<a href="https://www.insurance.wa.gov/find-companies-agents">https://www.insurance.wa.gov/find-companies-agents</a>
Washington DC	Department of Insurance, Securities and Banking	<a href="https://disb.dc.gov/">https://disb.dc.gov/</a>	<a href="https://disb.dc.gov/node/329002">https://disb.dc.gov/node/329002</a>
Washington DC	Department of Insurance, Securities and Banking	<a href="https://disb.dc.gov/">https://disb.dc.gov/</a>	<a href="https://disb.dc.gov/node/325102">https://disb.dc.gov/node/325102</a>
West Virginia	West Virginia Division of Financial Institutions	<a href="http://www.dfi.wv.gov/Pages/default.aspx">http://www.dfi.wv.gov/Pages/default.aspx</a>	<a href="http://www.dfi.wv.gov/banking/institutions/Pages/default.aspx">http://www.dfi.wv.gov/banking/institutions/Pages/default.aspx</a>
West Virginia	West Virginia Offices of the Insurance Commissioner	<a href="http://www.wvinsurance.gov/">http://www.wvinsurance.gov/</a>	<a href="https://sbs-wv.naic.org/Lion-Web/jsp/sbsreports/AgentLookup.jsp">https://sbs-wv.naic.org/Lion-Web/jsp/sbsreports/AgentLookup.jsp</a>
Wisconsin	State of Wisconsin Department of Financial Institutions	<a href="https://www.wdfi.org/">https://www.wdfi.org/</a>	<a href="https://www.wdfi.org/fi/banks/license_lists/">https://www.wdfi.org/fi/banks/license_lists/</a>

## Index of USA State / Commonwealth / District Regulators

State / District / Commonwealth	Regulator	Link to Regulator	Link to lists of Regulated / Supervised Entities
Wisconsin	Wisconsin Office of the Commissioner of Insurance	<a href="https://oci.wi.gov/Pages/Homepage.aspx">https://oci.wi.gov/Pages/Homepage.aspx</a>	<a href="https://oci.wi.gov/Pages/CompaniesHome.aspx">https://oci.wi.gov/Pages/CompaniesHome.aspx</a>
Wyoming	Wyoming Division of Banking	<a href="http://wyomingbankingdivision.wyo.gov/">http://wyomingbankingdivision.wyo.gov/</a>	<a href="http://wyomingbankingdivision.wyo.gov/regulated-financial-institutions">http://wyomingbankingdivision.wyo.gov/regulated-financial-institutions</a>
Wyoming	Wyoming Department of Insurance	<a href="http://doi.wyo.gov/">http://doi.wyo.gov/</a>	<a href="https://sites.google.com/a/wyo.gov/doi/company-financial-information">https://sites.google.com/a/wyo.gov/doi/company-financial-information</a>